

Existing MFD Rule				Existing IDPC Rule				Existing NI 31-103 requirement			New DC Rule			
Number and Title	Section reference	Subsection reference	Cross reference	Number and Title	Section reference	Subsection reference	Cross reference	Number and Title	Section reference	Subsection reference	Number and Title	Section reference	Subsection reference	Proposed Action
No equivalent section (NES)	NES			R. 3119. Definitions	3119	(1) "best execution"		No equivalent section (NES)	NES		R. 3119. Definitions	3119	(1) "best execution"	Adopt existing IDPC Rule defined term
No equivalent section (NES)	NES			R. 3119. Definitions	3119	(1) "foreign exchange-traded security"		No equivalent section (NES)	NES		R. 3119. Definitions	3119	(1) "foreign exchange-traded security"	Adopt existing IDPC Rule defined term
No equivalent section (NES)	NES			R. 3119. Definitions	3119	(1) "foreign organized regulated market"		No equivalent section (NES)	NES		R. 3119. Definitions	3119	(1) "foreign organized regulated market"	Adopt existing IDPC Rule defined term
No equivalent section (NES)	NES			R. 3119. Definitions	3119	(1) "last sale price"		No equivalent section (NES)	NES		R. 3119. Definitions	3119	(1) "last sale price"	Adopt existing IDPC Rule defined term
No equivalent section (NES)	NES			R. 3119. Definitions	3119	(1) "Opening Order"		No equivalent section (NES)	NES		R. 3119. Definitions	3119	(1) "Opening Order"	Adopt existing IDPC Rule defined term
No equivalent section (NES)	NES			R. 3119. Definitions	3119	(1) "over-the-counter securities"		No equivalent section (NES)	NES		R. 3119. Definitions	3119	(1) "over-the-counter securities"	Adopt a revised version of existing IDPC Rule to reflect proposed Derivatives Rule Modernization Project revisions.
No equivalent section (NES)	NES			R. 3119. Definitions	3119	(1) "Trading Rules"		No equivalent section (NES)	NES		R. 3119. Definitions	3119	(1) "Trading Rules"	Adopt existing IDPC Rule defined term
No equivalent section (NES)	NES			R. 3120. Best execution obligation	3120	(1)		No equivalent section (NES)	NES		R. 3120. Best execution obligation	3120	(1)	Adopt a revised version of existing IDPC Rule to reflect proposed Derivatives Rule Modernization Project revisions.
No equivalent section (NES)	NES			R. 3121. Best execution factors	3121	(1)		No equivalent section (NES)	NES		R. 3121. Best execution factors for listed security and listed derivative orders	3121	(1)	Adopt a revised version of existing IDPC Rule to reflect proposed Derivatives Rule Modernization Project revisions.
No equivalent section (NES)	NES			R. 3121. Best execution factors	3121	(2)		No equivalent section (NES)	NES		R. 3121. Best execution factors for listed security and listed derivative orders	3121	(2)	Adopt a revised version of existing IDPC Rule to reflect proposed Derivatives Rule Modernization Project revisions.
No equivalent section (NES)	NES			R. 3121. Best execution factors	3121	(3)		No equivalent section (NES)	NES		R. 3121. Best execution factors for listed security and listed derivative orders	3121	(3)	Adopt a revised version of existing IDPC Rule to reflect proposed Derivatives Rule Modernization Project revisions.
No equivalent section (NES)	NES			No equivalent section (NES)	NES			No equivalent section (NES)	NES		R. 3121. Best execution factors for listed security and listed derivative orders	3121	(4)	Adopt proposed Derivatives Rule Modernization Project revisions.
No equivalent section (NES)	NES			No equivalent section (NES)	NES			No equivalent section (NES)	NES		R. 3122. Best execution factors for over-the-counter security and over-the-counter derivative transactions	3122	(1)	Adopt proposed Derivatives Rule Modernization Project revisions.
No equivalent section (NES)	NES			No equivalent section (NES)	NES			No equivalent section (NES)	NES		R. 3122. Best execution factors for over-the-counter security and over-the-counter derivative transactions	3122	(2)	Adopt proposed Derivatives Rule Modernization Project revisions.
No equivalent section (NES)	NES			No equivalent section (NES)	NES			No equivalent section (NES)	NES		R. 3122. Best execution factors for over-the-counter security and over-the-counter derivative transactions	3122	(3)	Adopt proposed Derivatives Rule Modernization Project revisions.
No equivalent section (NES)	NES			No equivalent section (NES)	NES			No equivalent section (NES)	NES		R. 3122. Best execution factors for over-the-counter security and over-the-counter derivative transactions	3122	(4)	Adopt proposed Derivatives Rule Modernization Project revisions.
No equivalent section (NES)	NES			R. 3122. Best execution process	3122	(1)		No equivalent section (NES)	NES		R. 3123. Best execution process	3123	(1)	Adopt a revised version of existing IDPC Rule to reflect proposed Derivatives Rule Modernization Project revisions.
No equivalent section (NES)	NES			R. 3123. Non-executing Dealer Member best execution policies and procedures	3123	(1)		No equivalent section (NES)	NES		R. 3124. Non-executing Dealer Member best execution policies and procedures	3124	(1)	Adopt a revised version of existing IDPC Rule to reflect proposed Derivatives Rule Modernization Project revisions.
No equivalent section (NES)	NES			R. 3124. Sending orders in bulk to foreign intermediaries	3124	(1)		No equivalent section (NES)	NES		R. 3125. Sending orders in bulk to foreign intermediaries	3125	(1)	Adopt a revised version of existing IDPC Rule to reflect proposed Derivatives Rule Modernization Project revisions.
No equivalent section (NES)	NES			R. 3126. Review of best execution policies and procedures	3126	(1)		No equivalent section (NES)	NES		R. 3126. Review of best execution policies and procedures	3126	(1)	Adopt existing IDPC Rule provision
No equivalent section (NES)	NES			R. 3126. Review of best execution policies and procedures	3126	(2)		No equivalent section (NES)	NES		R. 3126. Review of best execution policies and procedures	3126	(2)	Adopt existing IDPC Rule provision

Existing MFD Rule				Existing IDPC Rule				Existing NI 31-103 requirement			New DC Rule			
Number and Title	Section reference	Subsection reference	Cross reference	Number and Title	Section reference	Subsection reference	Cross reference	Number and Title	Section reference	Subsection reference	Number and Title	Section reference	Subsection reference	Proposed Action
No equivalent section (NES)	NES			R. 3126. Review of best execution policies and procedures	3126	(3)		No equivalent section (NES)	NES		R. 3126. Review of best execution policies and procedures	3126	(3)	Adopt existing IDPC Rule provision
No equivalent section (NES)	NES			R. 3126. Review of best execution policies and procedures	3126	(4)		No equivalent section (NES)	NES		R. 3126. Review of best execution policies and procedures	3126	(4)	Adopt existing IDPC Rule provision
No equivalent section (NES)	NES			R. 3127. Training	3127	(1)		No equivalent section (NES)	NES		R. 3127. Training	3127	(1)	Adopt a revised version of existing IDPC Rule to reflect proposed Derivatives Rule Modernization Project revisions.
No equivalent section (NES)	NES			R. 3128. Compliance with Order Protection Rule	3128	(1)		No equivalent section (NES)	NES		R. 3128. Compliance with Order Protection Rule	3128	(1)	Adopt a revised version of existing IDPC Rule to reflect proposed Derivatives Rule Modernization Project revisions.
No equivalent section (NES)	NES			R. 3129. Disclosure of best execution policies	3129	(1)		No equivalent section (NES)	NES		R. 3129. Disclosure of best execution policies	3129	(1)	Adopt a revised version of existing IDPC Rule to reflect proposed Derivatives Rule Modernization Project revisions.
No equivalent section (NES)	NES			R. 3129. Disclosure of best execution policies	3129	(2)		No equivalent section (NES)	NES		R. 3129. Disclosure of best execution policies	3129	(2)	Adopt a revised version of existing IDPC Rule to reflect proposed Derivatives Rule Modernization Project revisions.
No equivalent section (NES)	NES			R. 3129. Disclosure of best execution policies	3129	(3)		No equivalent section (NES)	NES		R. 3129. Disclosure of best execution policies	3129	(3)	Adopt a revised version of existing IDPC Rule to reflect proposed Derivatives Rule Modernization Project revisions.
No equivalent section (NES)	NES			R. 3129. Disclosure of best execution policies	3129	(4)		No equivalent section (NES)	NES		R. 3129. Disclosure of best execution policies	3129	(4)	Adopt existing IDPC Rule provision
No equivalent section (NES)	NES			R. 3129. Disclosure of best execution policies	3129	(5)		No equivalent section (NES)	NES		R. 3129. Disclosure of best execution policies	3129	(5)	Adopt existing IDPC Rule provision
No equivalent section (NES)	NES			R. 3129. Disclosure of best execution policies	3129	(6)		No equivalent section (NES)	NES		R. 3129. Disclosure of best execution policies	3129	(6)	Adopt existing IDPC Rule provision
No equivalent section (NES)	NES			R. 3140. Identifying clients of a Non-Executing Dealer Member	3140	(1)		No equivalent section (NES)	NES		R. 3140. Identifying clients of a Non-Executing Dealer Member	3140	(1)	Adopt existing IDPC Rule provision
No equivalent section (NES)	NES			R. 3140. Identifying clients of a Non-Executing Dealer Member	3140	(2)		No equivalent section (NES)	NES		R. 3140. Identifying clients of a Non-Executing Dealer Member	3140	(2)	Adopt existing IDPC Rule provision
No equivalent section (NES)	NES			R. 3140. Identifying clients of a Non-Executing Dealer Member	3140	(3)		No equivalent section (NES)	NES		R. 3140. Identifying clients of a Non-Executing Dealer Member	3140	(3)	Adopt existing IDPC Rule provision
No equivalent section (NES)	NES			R. 3503. Client Priority	3503	(1)		No equivalent section (NES)	NES		R. 3503. Client Priority	3503	(1)	Adopt a revised version of existing IDPC Rule to reflect proposed Derivatives Rule Modernization Project revisions.
No equivalent section (NES)	NES			R. 3503. Client Priority	3503	(2)		No equivalent section (NES)	NES		R. 3503. Client Priority	3503	(2)	Adopt a revised version of existing IDPC Rule to reflect proposed Derivatives Rule Modernization Project revisions.
No equivalent section (NES)	NES			R. 3503. Client Priority	3503	(3)		No equivalent section (NES)	NES		R. 3503. Client Priority	3503	(3)	Adopt existing IDPC Rule provision
No equivalent section (NES)	NES			R. 5101. Introduction	5101	(1)(i)		No equivalent section (NES)	NES		R. 5101. Introduction	5101	(1)(i)	Adopt existing IDPC Rule introduction section.
No equivalent section (NES)	NES			R. 5101. Introduction	5101	(1)(ii)		No equivalent section (NES)	NES		R. 5101. Introduction	5101	(1)(ii)	Adopt existing IDPC Rule introduction section.
No equivalent section (NES)	NES			R. 5101. Introduction	5101	(1)(iii)		No equivalent section (NES)	NES		R. 5101. Introduction	5101	(1)(iii)	Adopt existing IDPC Rule introduction section.
No equivalent section (NES)	NES			No equivalent section (NES)	NES			No equivalent section (NES)	NES		R. 5101. Introduction	5101	(2)(i)	Adopt new clause 5101(2)(i) which clarifies how 5000 series applies to mutual fund dealers
No equivalent section (NES)	NES			No equivalent section (NES)	NES			No equivalent section (NES)	NES		R. 5101. Introduction	5101	(2)(ii)	Adopt new clause 5101(2)(ii) which clarifies that mutual fund dealer investments are considered inventory

Existing MFD Rule				Existing IDPC Rule				Existing NI 31-103 requirement			New DC Rule			
Number and Title	Section reference	Subsection reference	Cross reference	Number and Title	Section reference	Subsection reference	Cross reference	Number and Title	Section reference	Subsection reference	Number and Title	Section reference	Subsection reference	Proposed Action
No equivalent section (NES)	NES			R. 5102 - 5109. Reserved.	5102 - 5109			No equivalent section (NES)	NES		R. 5102 - 5109. Reserved.	5102 - 5109		Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES			R. 5110. Margin requirements - purposes	5110	(1)(i)		No equivalent section (NES)	NES		R. 5110. Margin requirements - purposes	5110	(1)(i)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES			R. 5110. Margin requirements - purposes	5110	(1)(ii)		No equivalent section (NES)	NES		R. 5110. Margin requirements - purposes	5110	(1)(ii)	Adopt a revised version of existing IDPC Rule to include 'Investing'
No equivalent section (NES)	NES			R. 5110. Margin requirements - purposes	5110	(2)		No equivalent section (NES)	NES		R. 5110. Margin requirements - purposes	5110	(2)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES			R. 5111. Margin requirements - general application	5111	(1)(i)		No equivalent section (NES)	NES		R. 5111. Margin requirements - general application	5111	(1)(i)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES			R. 5111. Margin requirements - general application	5111	(1)(ii)		No equivalent section (NES)	NES		R. 5111. Margin requirements - general application	5111	(1)(ii)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES			R. 5111. Margin requirements - general application	5111	(2)		No equivalent section (NES)	NES		R. 5111. Margin requirements - general application	5111	(2)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES			R. 5111. Margin requirements - general application	5111	(3)		No equivalent section (NES)	NES		R. 5111. Margin requirements - general application	5111	(3)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES			R. 5111. Margin requirements - general application	5111	(4)		No equivalent section (NES)	NES		R. 5111. Margin requirements - general application	5111	(4)	Adopt existing IDPC Rule provision.
R. 3.2.1 Client Lending and Margin	3.2.1	preamble		No equivalent section (NES)	NES			13.12 Restriction on borrowing from, or lending to, clients	13.12	(1)	R. 5111. Margin requirements - general application	5111	(5) preamble	Adopt revised version of NI 31-103 and MFD Rule provision which restricts Mutual Fund Dealer clients from purchasing securities on margin.
R. 3.2.1 Client Lending and Margin	3.2.1	(c)(i)		No equivalent section (NES)	NES			13.12 Restriction on borrowing from, or lending to, clients	13.12	(1)(a)	R. 5111. Margin requirements - general application	5111	(5)(i)	Adopt existing MFD Rule provision.
R. 3.2.1 Client Lending and Margin	3.2.1	(c)(ii)		No equivalent section (NES)	NES			No equivalent section (NES)	NES		R. 5111. Margin requirements - general application	5111	(5)(ii)	Adopt existing MFD Rule provision.
R. 3.2.1 Client Lending and Margin	3.2.1	(c)(iii)		No equivalent section (NES)	NES			No equivalent section (NES)	NES		R. 5111. Margin requirements - general application	5111	(5)(iii)	Adopt existing MFD Rule provision.
R. 3.2.1 Client Lending and Margin	3.2.1	(c)(iv)		No equivalent section (NES)	NES			No equivalent section (NES)	NES		R. 5111. Margin requirements - general application	5111	(5)(iv)	Adopt existing MFD Rule provision.
R. 3.2.1 Client Lending and Margin	3.2.1	(c)(v)		No equivalent section (NES)	NES			No equivalent section (NES)	NES		R. 5111. Margin requirements - general application	5111	(5)(v)	Adopt revised version of MFD Rule provision to refer to minimum capital amount instead of Levels
No equivalent section (NES)	NES			R. 5112. Application of margin requirements - Dealer Member inventory positions	5112	(1)		No equivalent section (NES)	NES		R. 5112. Application of margin requirements - Dealer Member inventory positions	5112	(1)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES			R. 5112. Application of margin requirements - Dealer Member inventory positions	5112	(2)(i)		No equivalent section (NES)	NES		R. 5112. Application of margin requirements - Dealer Member inventory positions	5112	(2)(i)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES			R. 5112. Application of margin requirements - Dealer Member inventory positions	5112	(2)(ii)		No equivalent section (NES)	NES		R. 5112. Application of margin requirements - Dealer Member inventory positions	5112	(2)(ii)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES			R. 5112. Application of margin requirements - Dealer Member inventory positions	5112	(3)(i)		No equivalent section (NES)	NES		R. 5112. Application of margin requirements - Dealer Member inventory positions	5112	(3)(i)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES			R. 5112. Application of margin requirements - Dealer Member inventory positions	5112	(3)(ii)		No equivalent section (NES)	NES		R. 5112. Application of margin requirements - Dealer Member inventory positions	5112	(3)(ii)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES			R. 5113. Application of margin requirements - client account positions	5113	(1)		No equivalent section (NES)	NES		R. 5113. Application of margin requirements - client account positions	5113	(1)	Adopt existing IDPC Rule provision.

Existing MFD Rule				Existing IDPC Rule				Existing NI 31-103 requirement			New DC Rule			
Number and Title	Section reference	Subsection reference	Cross reference	Number and Title	Section reference	Subsection reference	Cross reference	Number and Title	Section reference	Subsection reference	Number and Title	Section reference	Subsection reference	Proposed Action
No equivalent section (NES)	NES			R. 5113. Application of margin requirements - client account positions	5113	(2)(i)		No equivalent section (NES)		NES	R. 5113. Application of margin requirements - client account positions	5113	(2)(i)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES			R. 5113. Application of margin requirements - client account positions	5113	(2)(ii)		No equivalent section (NES)		NES	R. 5113. Application of margin requirements - client account positions	5113	(2)(ii)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES			R. 5113. Application of margin requirements - client account positions	5113	(3)(i)		No equivalent section (NES)		NES	R. 5113. Application of margin requirements - client account positions	5113	(3)(i)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES			R. 5113. Application of margin requirements - client account positions	5113	(3)(ii)		No equivalent section (NES)		NES	R. 5113. Application of margin requirements - client account positions	5113	(3)(ii)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES			R. 5113. Application of margin requirements - client account positions	5113	(4)(i)		No equivalent section (NES)		NES	R. 5113. Application of margin requirements - client account positions	5113	(4)(i)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES			R. 5113. Application of margin requirements - client account positions	5113	(4)(ii)		No equivalent section (NES)		NES	R. 5113. Application of margin requirements - client account positions	5113	(4)(ii)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES			R. 5113. Application of margin requirements - client account positions	5113	(4)(iii)		No equivalent section (NES)		NES	R. 5113. Application of margin requirements - client account positions	5113	(4)(iii)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES			R. 5113. Application of margin requirements - client account positions	5113	(4)(iv)		No equivalent section (NES)		NES	R. 5113. Application of margin requirements - client account positions	5113	(4)(iv)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES			R. 5114. Client securities that are collateral for a margin debt	5114	(1)		No equivalent section (NES)		NES	R. 5114. Client securities that are collateral for a margin debt	5114	(1)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES			R. 5114. Client securities that are collateral for a margin debt	5114	(2)		No equivalent section (NES)		NES	R. 5114. Client securities that are collateral for a margin debt	5114	(2)	Adopt a revised version of existing IDPC Rule provision referencing Investment Dealer Member and Investment Dealer Form 1 specifically
No equivalent section (NES)	NES			R. 5115. Dealer Member's rights in securities of indebted clients	5115	(1)(i)		No equivalent section (NES)		NES	R. 5115. Dealer Member's rights in securities of indebted clients	5115	(1)(i)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES			R. 5115. Dealer Member's rights in securities of indebted clients	5115	(1)(ii)		No equivalent section (NES)		NES	R. 5115. Dealer Member's rights in securities of indebted clients	5115	(1)(ii)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES			R. 5115. Dealer Member's rights in securities of indebted clients	5115	(1)(iii)		No equivalent section (NES)		NES	R. 5115. Dealer Member's rights in securities of indebted clients	5115	(1)(iii)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES			R. 5116. Dealer Member may buy or sell client securities	5116	(1)(i)		No equivalent section (NES)		NES	R. 5116. Dealer Member may buy or sell client securities	5116	(1)(i)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES			R. 5116. Dealer Member may buy or sell client securities	5116	(1)(ii)		No equivalent section (NES)		NES	R. 5116. Dealer Member may buy or sell client securities	5116	(1)(ii)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES			R. 5117. Dealer Member's right to recover from indebted client	5117	(1)		No equivalent section (NES)		NES	R. 5117. Dealer Member's right to recover from indebted client	5117	(1)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES			R. 5118 - 5119. Reserved.	5118 - 5119			No equivalent section (NES)		NES	R. 5118 - 5119. Reserved.	5118 - 5119		Adopt existing IDPC Rule provision.
Form 1	Schedule 1 Notes and Instructions	1(e)		R. 5120. Margin requirements - when a rate is not specified	5120	(1)		Form 31-103F1	Schedule 1 (calculating line 9 market risk)	(2)(g)	R. 5120. Margin requirements - when a rate is not specified	5120	(1)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES			R. 5121 - 5229. Reserved.	5121 - 5229			No equivalent section (NES)		NES	R. 5121 - 5129. Reserved.	5121 - 5129		Adopt a revised version of existing IDPC Rule which corrects rule reference
No equivalent section (NES)	NES			R. 5130. Definitions	5130	(1)		No equivalent section (NES)		NES	R. 5130. Definitions	5130	(1)	Adopt a revised version of existing IDPC Rule defined term framework that refers to both Investment Dealer Form 1 and Mutual Fund Dealer Form 1.
No equivalent section (NES)	NES			R. 5130. Definitions	5130	(2) "client account margin"		No equivalent section (NES)		NES	R. 5130. Definitions	5130	(2) "client account margin"	Adopt a revised version of existing IDPC Rule definition referencing Investment Dealer Member specifically

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No equivalent section (NES)	NES			R. 5130. Definitions	5130	(2) "Dealer Member inventory margin"		No equivalent section (NES)	NES		R. 5130. Definitions	5130	(2) "Dealer Member inventory margin"	Adopt existing IDPC Rule defined term
No equivalent section (NES)	NES			R. 5130. Definitions	5130	(2) "equivalent number" or "equivalent quantity" or "equivalent quantities"		No equivalent section (NES)	NES		R. 5130. Definitions	5130	(2) "equivalent number" or "equivalent quantity" or "equivalent quantities"	Adopt existing IDPC Rule defined term
No equivalent section (NES)	NES			R. 5130. Definitions	5130	(2) "loan value"		No equivalent section (NES)	NES		R. 5130. Definitions	5130	(2) "loan value"	Adopt a revised version of existing IDPC Rule definition referencing Investment Dealer Member specifically
No equivalent section (NES)	NES			R. 5130. Definitions	5130	(2) "normal margin" or "normal margin required"		No equivalent section (NES)	NES		R. 5130. Definitions	5130	(2) "normal margin" or "normal margin required"	Adopt existing IDPC Rule defined term
No equivalent section (NES)	NES			R. 5130. Definitions	5130	(2) "underlying interest" or "underlying security" or "underlying basket of securities"		No equivalent section (NES)	NES		R. 5130. Definitions	5130	(2) "underlying interest" or "underlying security" or "underlying basket of securities"	Adopt a revised version of existing IDPC Rule to reflect proposed Margin for Structured Products Project revisions.
No equivalent section (NES)	NES			R. 5130. Definitions	5130	(3) preamble		No equivalent section (NES)	NES		R. 5130. Definitions	5130	(3) preamble	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES			R. 5130. Definitions	5130	(3) "acceptable commercial, corporate and finance company notes"		No equivalent section (NES)	NES		R. 5130. Definitions	5130	(3) "acceptable commercial, corporate and finance company notes"	Adopt existing IDPC Rule defined term
No equivalent section (NES)	NES			R. 5130. Definitions	5130	(3) "call protection period"		No equivalent section (NES)	NES		R. 5130. Definitions	5130	(3) "call protection period"	Adopt existing IDPC Rule defined term
No equivalent section (NES)	NES			R. 5130. Definitions	5130	(3) "callable debt security"		No equivalent section (NES)	NES		R. 5130. Definitions	5130	(3) "callable debt security"	Adopt existing IDPC Rule defined term
No equivalent section (NES)	NES			R. 5130. Definitions	5130	(3) "Canada debt securities"		No equivalent section (NES)	NES		R. 5130. Definitions	5130	(3) "Canada debt securities"	Adopt existing IDPC Rule defined term
No equivalent section (NES)	NES			R. 5130. Definitions	5130	(3) "Canada Municipal debt securities"		No equivalent section (NES)	NES		R. 5130. Definitions	5130	(3) "Canada Municipal debt securities"	Adopt existing IDPC Rule defined term
No equivalent section (NES)	NES			R. 5130. Definitions	5130	(3) "Canada Provincial debt securities"		No equivalent section (NES)	NES		R. 5130. Definitions	5130	(3) "Canada Provincial debt securities"	Adopt existing IDPC Rule defined term
No equivalent section (NES)	NES			R. 5130. Definitions	5130	(3) "Canada Provincial residuals"		No equivalent section (NES)	NES		R. 5130. Definitions	5130	(3) "Canada Provincial residuals"	Adopt existing IDPC Rule defined term
No equivalent section (NES)	NES			R. 5130. Definitions	5130	(3) "Canada Provincial strips"		No equivalent section (NES)	NES		R. 5130. Definitions	5130	(3) "Canada Provincial strips"	Adopt existing IDPC Rule defined term
No equivalent section (NES)	NES			R. 5130. Definitions	5130	(3) "Canada residuals"		No equivalent section (NES)	NES		R. 5130. Definitions	5130	(3) "Canada residuals"	Adopt existing IDPC Rule defined term
No equivalent section (NES)	NES			R. 5130. Definitions	5130	(3) "Canada strips"		No equivalent section (NES)	NES		R. 5130. Definitions	5130	(3) "Canada strips"	Adopt existing IDPC Rule defined term
No equivalent section (NES)	NES			R. 5130. Definitions	5130	(3) "Canadian banker acceptance futures contract"		No equivalent section (NES)	NES		R. 5130. Definitions	5130	(3) "Canadian banker acceptance futures contract"	Adopt existing IDPC Rule defined term
No equivalent section (NES)	NES			R. 5130. Definitions	5130	(3) "extendible debt security"		No equivalent section (NES)	NES		R. 5130. Definitions	5130	(3) "extendible debt security"	Adopt existing IDPC Rule defined term
No equivalent section (NES)	NES			R. 5130. Definitions	5130	(3) "extension election period"		No equivalent section (NES)	NES		R. 5130. Definitions	5130	(3) "extension election period"	Adopt existing IDPC Rule defined term
No equivalent section (NES)	NES			R. 5130. Definitions	5130	(3) "extension factor"		No equivalent section (NES)	NES		R. 5130. Definitions	5130	(3) "extension factor"	Adopt existing IDPC Rule defined term
No equivalent section (NES)	NES			R. 5130. Definitions	5130	(3) "floating rate debt obligation"		No equivalent section (NES)	NES		R. 5130. Definitions	5130	(3) "floating rate debt obligation"	Adopt existing IDPC Rule defined term

Existing MFD Rule				Existing IDPC Rule				Existing NI 31-103 requirement			New DC Rule			
Number and Title	Section reference	Subsection reference	Cross reference	Number and Title	Section reference	Subsection reference	Cross reference	Number and Title	Section reference	Subsection reference	Number and Title	Section reference	Subsection reference	Proposed Action
No equivalent section (NES)	NES			R. 5130. Definitions	5130	(3) "low current credit rating"		No equivalent section (NES)		NES	R. 5130. Definitions	5130	(3) "low current credit rating"	Adopt existing IDPC Rule defined term
No equivalent section (NES)	NES			R. 5130. Definitions	5130	(3) "maturity band"		No equivalent section (NES)		NES	R. 5130. Definitions	5130	(3) "maturity band"	Adopt existing IDPC Rule defined term
No equivalent section (NES)	NES			R. 5130. Definitions	5130	(3) "retractable debt security"		No equivalent section (NES)		NES	R. 5130. Definitions	5130	(3) "retractable debt security"	Adopt existing IDPC Rule defined term
No equivalent section (NES)	NES			R. 5130. Definitions	5130	(3) "retraction election period"		No equivalent section (NES)		NES	R. 5130. Definitions	5130	(3) "retraction election period"	Adopt existing IDPC Rule defined term
No equivalent section (NES)	NES			R. 5130. Definitions	5130	(3) "retraction factor"		No equivalent section (NES)		NES	R. 5130. Definitions	5130	(3) "retraction factor"	Adopt existing IDPC Rule defined term
No equivalent section (NES)	NES			R. 5130. Definitions	5130	(3) "United States debt securities"		No equivalent section (NES)		NES	R. 5130. Definitions	5130	(3) "United States debt securities"	Adopt existing IDPC Rule defined term
No equivalent section (NES)	NES			R. 5130. Definitions	5130	(4) preamble		No equivalent section (NES)		NES	R. 5130. Definitions	5130	(4) preamble	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES			R. 5130. Definitions	5130	(4) "basic margin requirement"		No equivalent section (NES)		NES	R. 5130. Definitions	5130	(4) "basic margin requirement"	Adopt existing IDPC Rule defined term
No equivalent section (NES)	NES			R. 5130. Definitions	5130	(4) "Canada and United States listed equity securities eligible for margin"		No equivalent section (NES)		NES	R. 5130. Definitions	5130	(4) "Canada and United States listed equity securities eligible for margin"	Adopt existing IDPC Rule defined term
No equivalent section (NES)	NES			R. 5130. Definitions	5130	(4) "Canada and United States unlisted equity securities eligible for margin"		No equivalent section (NES)		NES	R. 5130. Definitions	5130	(4) "Canada and United States unlisted equity securities eligible for margin"	Adopt existing IDPC Rule defined term
No equivalent section (NES)	NES			R. 5130. Definitions	5130	(4) "control block"		No equivalent section (NES)		NES	R. 5130. Definitions	5130	(4) "control block"	Adopt existing IDPC Rule defined term
No equivalent section (NES)	NES			R. 5130. Definitions	5130	(4) "floating rate preferred share"		No equivalent section (NES)		NES	R. 5130. Definitions	5130	(4) "floating rate preferred share"	Adopt existing IDPC Rule defined term
No equivalent section (NES)	NES			R. 5130. Definitions	5130	(4) "foreign listed equity securities eligible for margin"		No equivalent section (NES)		NES	R. 5130. Definitions	5130	(4) "foreign listed equity securities eligible for margin"	Adopt existing IDPC Rule defined term
No equivalent section (NES)	NES			R. 5130. Definitions	5130	(4) "future payments"		No equivalent section (NES)		NES	R. 5130. Definitions	5130	(4) "future payments"	Adopt existing IDPC Rule defined term
No equivalent section (NES)	NES			R. 5130. Definitions	5130	(4) "government guaranteed equity securities"		No equivalent section (NES)		NES	R. 5130. Definitions	5130	(4) "government guaranteed equity securities"	Adopt existing IDPC Rule defined term
No equivalent section (NES)	NES			R. 5130. Definitions	5130	(4) "installment receipt"		No equivalent section (NES)		NES	R. 5130. Definitions	5130	(4) "installment receipt"	Adopt existing IDPC Rule defined term
No equivalent section (NES)	NES			R. 5130. Definitions	5130	(5) preamble		No equivalent section (NES)		NES	R. 5130. Definitions	5130	(5) preamble	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES			R. 5130. Definitions	5130	(5) "appropriate documentation"		No equivalent section (NES)		NES	R. 5130. Definitions	5130	(5) "appropriate documentation"	Adopt existing IDPC Rule defined term
No equivalent section (NES)	NES			R. 5130. Definitions	5130	(5) "commitment"		No equivalent section (NES)		NES	R. 5130. Definitions	5130	(5) "commitment"	Adopt existing IDPC Rule defined term
No equivalent section (NES)	NES			R. 5130. Definitions	5130	(5) "disaster out clause"		No equivalent section (NES)		NES	R. 5130. Definitions	5130	(5) "disaster out clause"	Adopt existing IDPC Rule defined term
No equivalent section (NES)	NES			R. 5130. Definitions	5130	(5) "exempt purchaser"		No equivalent section (NES)		NES	R. 5130. Definitions	5130	(5) "exempt purchaser"	Adopt existing IDPC Rule defined term

Existing MFD Rule				Existing IDPC Rule				Existing NI 31-103 requirement			New DC Rule			
Number and Title	Section reference	Subsection reference	Cross reference	Number and Title	Section reference	Subsection reference	Cross reference	Number and Title	Section reference	Subsection reference	Number and Title	Section reference	Subsection reference	Proposed Action
No equivalent section (NES)	NES			R. 5130. Definitions	5130	(5) "market out clause"		No equivalent section (NES)		NES	R. 5130. Definitions	5130	(5) "market out clause"	Adopt existing IDPC Rule defined term
No equivalent section (NES)	NES			R. 5130. Definitions	5130	(5) "new issue letter"		No equivalent section (NES)		NES	R. 5130. Definitions	5130	(5) "new issue letter"	Adopt existing IDPC Rule defined term
No equivalent section (NES)	NES			R. 5130. Definitions	5130	(5) "normal new issue margin"		No equivalent section (NES)		NES	R. 5130. Definitions	5130	(5) "normal new issue margin"	Adopt revised version of IDPC Rule defined term which corrects capitalization in English version. French version unchanged.
No equivalent section (NES)	NES			R. 5130. Definitions	5130	(5) "trading on a when issued basis"		No equivalent section (NES)		NES	R. 5130. Definitions	5130	(5) "trading on a when issued basis"	Adopt existing IDPC Rule defined term
No equivalent section (NES)	NES			R. 5130. Definitions	5130	(6) preamble		No equivalent section (NES)		NES	R. 5130. Definitions	5130	(6) preamble	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES			R. 5130. Definitions	5130	(6) "capital share"		No equivalent section (NES)		NES	R. 5130. Definitions	5130	(6) "capital share"	Adopt existing IDPC Rule defined term
No equivalent section (NES)	NES			R. 5130. Definitions	5130	(6) "capital share conversion loss"		No equivalent section (NES)		NES	R. 5130. Definitions	5130	(6) "capital share conversion loss"	Adopt existing IDPC Rule defined term
No equivalent section (NES)	NES			R. 5130. Definitions	5130	(6) "combined conversion loss"		No equivalent section (NES)		NES	R. 5130. Definitions	5130	(6) "combined conversion loss"	Adopt existing IDPC Rule defined term
No equivalent section (NES)	NES			R. 5130. Definitions	5130	(6) "conversion loss"		No equivalent section (NES)		NES	R. 5130. Definitions	5130	(6) "conversion loss"	Adopt existing IDPC Rule defined term
No equivalent section (NES)	NES			R. 5130. Definitions	5130	(6) "convertible security"		No equivalent section (NES)		NES	R. 5130. Definitions	5130	(6) "convertible security"	Adopt existing IDPC Rule defined term
No equivalent section (NES)	NES			R. 5130. Definitions	5130	(6) "currently convertible"		No equivalent section (NES)		NES	R. 5130. Definitions	5130	(6) "currently convertible"	Adopt existing IDPC Rule defined term
No equivalent section (NES)	NES			R. 5130. Definitions	5130	(6) "currently exercisable"		No equivalent section (NES)		NES	R. 5130. Definitions	5130	(6) "currently exercisable"	Adopt existing IDPC Rule defined term
No equivalent section (NES)	NES			R. 5130. Definitions	5130	(6) "exercisable security"		No equivalent section (NES)		NES	R. 5130. Definitions	5130	(6) "exercisable security"	Adopt existing IDPC Rule defined term
No equivalent section (NES)	NES			R. 5130. Definitions	5130	(6) "exercise loss"		No equivalent section (NES)		NES	R. 5130. Definitions	5130	(6) "exercise loss"	Adopt existing IDPC Rule defined term
No equivalent section (NES)	NES			R. 5130. Definitions	5130	(6) "Newco securities"		No equivalent section (NES)		NES	R. 5130. Definitions	5130	(6) "Newco securities"	Adopt existing IDPC Rule defined term
No equivalent section (NES)	NES			R. 5130. Definitions	5130	(6) "Oldco securities"		No equivalent section (NES)		NES	R. 5130. Definitions	5130	(6) "Oldco securities"	Adopt existing IDPC Rule defined term
No equivalent section (NES)	NES			R. 5130. Definitions	5130	(6) "retraction value"		No equivalent section (NES)		NES	R. 5130. Definitions	5130	(6) "retraction value"	Adopt existing IDPC Rule defined term
No equivalent section (NES)	NES			R. 5130. Definitions	5130	(6) "split share company"		No equivalent section (NES)		NES	R. 5130. Definitions	5130	(6) "split share company"	Adopt existing IDPC Rule defined term
No equivalent section (NES)	NES			R. 5130. Definitions	5130	(6) "split share preferred share"		No equivalent section (NES)		NES	R. 5130. Definitions	5130	(6) "split share preferred share"	Adopt existing IDPC Rule defined term
No equivalent section (NES)	NES			R. 5130. Definitions	5130	(7) preamble		No equivalent section (NES)		NES	R. 5130. Definitions	5130	(7) preamble	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES			R. 5130. Definitions	5130	(7) "fixed interest rate"		No equivalent section (NES)		NES	R. 5130. Definitions	5130	(7) "fixed interest rate"	Adopt existing IDPC Rule defined term

Existing MFD Rule				Existing IDPC Rule				Existing NI 31-103 requirement			New DC Rule			
Number and Title	Section reference	Subsection reference	Cross reference	Number and Title	Section reference	Subsection reference	Cross reference	Number and Title	Section reference	Subsection reference	Number and Title	Section reference	Subsection reference	Proposed Action
No equivalent section (NES)	NES			R. 5130. Definitions	5130	(7) "floating interest rate"		No equivalent section (NES)	NES		R. 5130. Definitions	5130	(7) "floating interest rate"	Adopt existing IDPC Rule defined term
No equivalent section (NES)	NES			R. 5130. Definitions	5130	(7) "interest rate swap"		No equivalent section (NES)	NES		R. 5130. Definitions	5130	(7) "interest rate swap"	Adopt existing IDPC Rule defined term
No equivalent section (NES)	NES			R. 5130. Definitions	5130	(7) "realization clause"		No equivalent section (NES)	NES		R. 5130. Definitions	5130	(7) "realization clause"	Adopt existing IDPC Rule defined term
No equivalent section (NES)	NES			R. 5130. Definitions	5130	(7) "total performance swap"		No equivalent section (NES)	NES		R. 5130. Definitions	5130	(7) "total performance swap"	Adopt existing IDPC Rule defined term
No equivalent section (NES)	NES			No equivalent section (NES)	NES			No equivalent section (NES)	NES		R. 5130. Definitions	5130	(8) preamble	Adopt proposed Margin for Structured Products Project revisions.
No equivalent section (NES)	NES			No equivalent section (NES)	NES			No equivalent section (NES)	NES		R. 5130. Definitions	5130	(8) "structured product"	Adopt proposed Margin for Structured Products Project revisions.
No equivalent section (NES)	NES			No equivalent section (NES)	NES			No equivalent section (NES)	NES		R. 5130. Definitions	5130	(8) "principal at risk note"	Adopt proposed Margin for Structured Products Project revisions.
No equivalent section (NES)	NES			No equivalent section (NES)	NES			No equivalent section (NES)	NES		R. 5130. Definitions	5130	(8) "principal protected note"	Adopt proposed Margin for Structured Products Project revisions.
No equivalent section (NES)	NES			R. 5130. Definitions	5130	(8) preamble		No equivalent section (NES)	NES		R. 5130. Definitions	5130	(9) preamble	Adopt existing IDPC Rule provision under subsection 5130(9) to reflect corresponding proposed Margin for Structured Products Project revisions.
No equivalent section (NES)	NES			R. 5130. Definitions	5130	(8) "foreign exchange position"		No equivalent section (NES)	NES		R. 5130. Definitions	5130	(9) "foreign exchange position"	Adopt existing IDPC Rule defined term
No equivalent section (NES)	NES			R. 5130. Definitions	5130	(8) "monetary asset or liability", "monetary asset", "monetary liability"		No equivalent section (NES)	NES		R. 5130. Definitions	5130	(9) "monetary asset or liability", "monetary asset", "monetary liability"	Adopt existing IDPC Rule defined term
No equivalent section (NES)	NES			R. 5130. Definitions	5130	(8) "net long (short) foreign exchange position"		No equivalent section (NES)	NES		R. 5130. Definitions	5130	(9) "net long (short) foreign exchange position"	Adopt a revised version of existing IDPC Rule definition which includes formula.
No equivalent section (NES)	NES			R. 5130. Definitions	5130	(8) "spot exchange rate"		No equivalent section (NES)	NES		R. 5130. Definitions	5130	(9) "spot exchange rate"	Adopt existing IDPC Rule defined term
No equivalent section (NES)	NES			R. 5130. Definitions	5130	(8) "term to maturity"		No equivalent section (NES)	NES		R. 5130. Definitions	5130	(9) "term to maturity"	Adopt existing IDPC Rule defined term
No equivalent section (NES)	NES			R. 5130. Definitions	5130	(9) preamble		No equivalent section (NES)	NES		R. 5130. Definitions	5130	(10) preamble	Adopt existing IDPC Rule provision under subsection 5130(10) to reflect corresponding proposed Margin for Structured Products Project revisions.
No equivalent section (NES)	NES			R. 5130. Definitions	5130	(9) "aggregate current value"		No equivalent section (NES)	NES		R. 5130. Definitions	5130	(10) "aggregate current value"	Adopt existing IDPC Rule defined term
No equivalent section (NES)	NES			R. 5130. Definitions	5130	(9) "aggregate exercise value"		No equivalent section (NES)	NES		R. 5130. Definitions	5130	(10) "aggregate exercise value"	Adopt existing IDPC Rule defined term
No equivalent section (NES)	NES			R. 5130. Definitions	5130	(9) "at-the-money"		No equivalent section (NES)	NES		R. 5130. Definitions	5130	(10) "at-the-money"	Adopt existing IDPC Rule defined term
No equivalent section (NES)	NES			R. 5130. Definitions	5130	(9) "broad based index"		No equivalent section (NES)	NES		R. 5130. Definitions	5130	(10) "broad based index"	Adopt existing IDPC Rule defined term
No equivalent section (NES)	NES			R. 5130. Definitions	5130	(9) "call option"		No equivalent section (NES)	NES		R. 5130. Definitions	5130	(10) "call option"	Adopt existing IDPC Rule defined term
No equivalent section (NES)	NES			R. 5130. Definitions	5130	(9) "cumulative relative weight percentage"		No equivalent section (NES)	NES		R. 5130. Definitions	5130	(10) "cumulative relative weight percentage"	Adopt existing IDPC Rule defined term

Existing MFD Rule				Existing IDPC Rule				Existing NI 31-103 requirement			New DC Rule			
Number and Title	Section reference	Subsection reference	Cross reference	Number and Title	Section reference	Subsection reference	Cross reference	Number and Title	Section reference	Subsection reference	Number and Title	Section reference	Subsection reference	Proposed Action
No equivalent section (NES)	NES			R. 5130. Definitions	5130	(9) "escrow receipt"		No equivalent section (NES)	NES		R. 5130. Definitions	5130	(10) "escrow receipt"	Adopt existing IDPC Rule defined term
No equivalent section (NES)	NES			R. 5130. Definitions	5130	(9) "exchange-traded option"		No equivalent section (NES)	NES		R. 5130. Definitions	5130	(10) "exchange-traded option"	Adopt existing IDPC Rule defined term
No equivalent section (NES)	NES			R. 5130. Definitions	5130	(9) "exercise price"		No equivalent section (NES)	NES		R. 5130. Definitions	5130	(10) "exercise price"	Adopt existing IDPC Rule defined term
No equivalent section (NES)	NES			R. 5130. Definitions	5130	(9) "floating margin rate"		No equivalent section (NES)	NES		R. 5130. Definitions	5130	(10) "floating margin rate"	Adopt existing IDPC Rule defined term
No equivalent section (NES)	NES			R. 5130. Definitions	5130	(9) "incremental basket margin rate"		No equivalent section (NES)	NES		R. 5130. Definitions	5130	(10) "incremental basket margin rate"	Adopt existing IDPC Rule defined term
No equivalent section (NES)	NES			R. 5130. Definitions	5130	(9) "index"		No equivalent section (NES)	NES		R. 5130. Definitions	5130	(10) "index"	Adopt existing IDPC Rule defined term
No equivalent section (NES)	NES			R. 5130. Definitions	5130	(9) "index futures contract"		No equivalent section (NES)	NES		R. 5130. Definitions	5130	(10) "index futures contract"	Adopt existing IDPC Rule defined term
No equivalent section (NES)	NES			R. 5130. Definitions	5130	(9) "index option"		No equivalent section (NES)	NES		R. 5130. Definitions	5130	(10) "index option"	Adopt existing IDPC Rule defined term
No equivalent section (NES)	NES			R. 5130. Definitions	5130	(9) "index participation unit"		No equivalent section (NES)	NES		R. 5130. Definitions	5130	(10) "index participation unit"	Adopt existing IDPC Rule defined term
No equivalent section (NES)	NES			R. 5130. Definitions	5130	(9) "index participation unit option"		No equivalent section (NES)	NES		R. 5130. Definitions	5130	(10) "index participation unit option"	Adopt existing IDPC Rule defined term
No equivalent section (NES)	NES			R. 5130. Definitions	5130	(9) "in-the-money"		No equivalent section (NES)	NES		R. 5130. Definitions	5130	(10) "in-the-money"	Adopt existing IDPC Rule defined term
No equivalent section (NES)	NES			R. 5130. Definitions	5130	(9) "out-of-the-money"		No equivalent section (NES)	NES		R. 5130. Definitions	5130	(10) "out-of-the-money"	Adopt existing IDPC Rule defined term
No equivalent section (NES)	NES			R. 5130. Definitions	5130	(9) "over-the-counter option"		No equivalent section (NES)	NES		R. 5130. Definitions	5130	(10) "over-the-counter option"	Adopt existing IDPC Rule defined term
No equivalent section (NES)	NES			R. 5130. Definitions	5130	(9) "premium"		No equivalent section (NES)	NES		R. 5130. Definitions	5130	(10) "premium"	Adopt existing IDPC Rule defined term
No equivalent section (NES)	NES			R. 5130. Definitions	5130	(9) "put option"		No equivalent section (NES)	NES		R. 5130. Definitions	5130	(10) "put option"	Adopt existing IDPC Rule defined term
No equivalent section (NES)	NES			R. 5130. Definitions	5130	(9) "qualifying basket of index securities"		No equivalent section (NES)	NES		R. 5130. Definitions	5130	(10) "qualifying basket of index securities"	Adopt existing IDPC Rule defined term
No equivalent section (NES)	NES			R. 5130. Definitions	5130	(9) "recognized option clearing corporation"		No equivalent section (NES)	NES		R. 5130. Definitions	5130	(10) "recognized option clearing corporation"	Adopt existing IDPC Rule defined term
No equivalent section (NES)	NES			R. 5130. Definitions	5130	(9) "regular reset date"		No equivalent section (NES)	NES		R. 5130. Definitions	5130	(10) "regular reset date"	Adopt existing IDPC Rule defined term
No equivalent section (NES)	NES			R. 5130. Definitions	5130	(9) "regular reset period"		No equivalent section (NES)	NES		R. 5130. Definitions	5130	(10) "regular reset period"	Adopt existing IDPC Rule defined term
No equivalent section (NES)	NES			R. 5130. Definitions	5130	(9) "regulatory margin interval"		No equivalent section (NES)	NES		R. 5130. Definitions	5130	(10) "regulatory margin interval"	Adopt existing IDPC Rule defined term
No equivalent section (NES)	NES			R. 5130. Definitions	5130	(9) "sector index"		No equivalent section (NES)	NES		R. 5130. Definitions	5130	(10) "sector index"	Adopt existing IDPC Rule defined term

Existing MFD Rule				Existing IDPC Rule				Existing NI 31-103 requirement			New DC Rule			
Number and Title	Section reference	Subsection reference	Cross reference	Number and Title	Section reference	Subsection reference	Cross reference	Number and Title	Section reference	Subsection reference	Number and Title	Section reference	Subsection reference	Proposed Action
No equivalent section (NES)	NES			R. 5211. Government residual debt and stripped coupons not in default	5211	(1)(iii)		No equivalent section (NES)	NES		R. 5211. Government residual debt and stripped coupons not in default	5211	(1)(iii)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES			R. 5211. Government residual debt and stripped coupons not in default	5211	(2)		No equivalent section (NES)	NES		R. 5211. Government residual debt and stripped coupons not in default	5211	(2)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES			R. 5211. Government residual debt and stripped coupons not in default	5211	(3)		No equivalent section (NES)	NES		R. 5211. Government residual debt and stripped coupons not in default	5211	(3)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES			R. 5212. Government floating rate debt obligations	5212	(1)(i)		No equivalent section (NES)	NES		R. 5212. Government floating rate debt obligations	5212	(1)(i)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES			R. 5212. Government floating rate debt obligations	5212	(1)(ii)		No equivalent section (NES)	NES		R. 5212. Government floating rate debt obligations	5212	(1)(ii)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES			R. 5213. Government mortgage-backed securities	5213	(1)		No equivalent section (NES)	NES		R. 5213. Government mortgage-backed securities	5213	(1)	Adopt existing IDPC Rule provision.
Form 1	Schedule 1 Notes and Instructions	1(a)(iv)		R. 5214. Other non-commercial issuers not qualifying under sections 5210 through 5212	5214	(1)(i)		Form 31-103F1	Schedule 1 (calculating line 9 market risk)	(2)(a)(iv)	R. 5214. Other non-commercial issuers not qualifying under sections 5210 through 5212	5214	(1)(i)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES			R. 5214. Other non-commercial issuers not qualifying under sections 5210 through 5212	5214	(1)(i)(ii)		No equivalent section (NES)	NES		R. 5214. Other non-commercial issuers not qualifying under sections 5210 through 5212	5214	(1)(ii)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES			R. 5214. Other non-commercial issuers not qualifying under sections 5210 through 5212	5214	(2)		No equivalent section (NES)	NES		R. 5214. Other non-commercial issuers not qualifying under sections 5210 through 5212	5214	(2)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES			R. 5214. Other non-commercial issuers not qualifying under sections 5210 through 5212	5214	(3)		No equivalent section (NES)	NES		R. 5214. Other non-commercial issuers not qualifying under sections 5210 through 5212	5214	(3)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES			R. 5215 - 5219. Reserved.	5215 - 5219			No equivalent section (NES)	NES		R. 5215 - 5219. Reserved.	5215 - 5219		Adopt existing IDPC Rule provision.
Form 1	Schedule 1 Notes and Instructions	1(a)(v)		R. 5220. Commercial and corporate bonds, debentures, notes and other securities not in default	5220	(1)		Form 31-103F1	Schedule 1 (calculating line 9 market risk)	(2)(a)(v)	R. 5220. Commercial and corporate bonds, debentures, notes and other securities not in default	5220	(1)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES			R. 5220. Commercial and corporate bonds, debentures, notes and other securities not in default	5220	(2)(i)		No equivalent section (NES)	NES		R. 5220. Commercial and corporate bonds, debentures, notes and other securities not in default	5220	(2)(i)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES			R. 5220. Commercial and corporate bonds, debentures, notes and other securities not in default	5220	(2)(ii)		No equivalent section (NES)	NES		R. 5220. Commercial and corporate bonds, debentures, notes and other securities not in default	5220	(2)(ii)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES			R. 5221. Convertible commercial and corporate bonds, debentures, notes and other securities not in default	5221	(1)		No equivalent section (NES)	NES		R. 5221. Convertible commercial and corporate bonds, debentures, notes and other securities not in default	5221	(1)	Adopt existing IDPC Rule provision.
Form 1	Schedule 1 Notes and Instructions	1(b)		R. 5222. Bank paper not in default	5222	(1)(i)		Form 31-103F1	Schedule 1 (calculating line 9 market risk)	(2)(b)	R. 5222. Bank paper not in default	5222	(1)(i)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES			R. 5222. Bank paper not in default	5222	(1)(ii)		Form 31-103F1	Schedule 1 (calculating line 9 market risk)	(2)(c)	R. 5222. Bank paper not in default	5222	(1)(ii)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES			R. 5222. Bank paper not in default	5222	(1)(iii)		No equivalent section (NES)	NES		R. 5222. Bank paper not in default	5222	(1)(iii)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES			R. 5223. Commercial residual debt and stripped coupons not in default	5223	(1)		No equivalent section (NES)	NES		R. 5223. Commercial residual debt and stripped coupons not in default	5223	(1)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES			R. 5223. Commercial residual debt and stripped coupons not in default	5223	(2)		No equivalent section (NES)	NES		R. 5223. Commercial residual debt and stripped coupons not in default	5223	(2)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES			R. 5224. Convertible commercial residual debt not in default	5224	(1)		No equivalent section (NES)	NES		R. 5224. Convertible commercial residual debt not in default	5224	(1)	Adopt existing IDPC Rule provision.

Existing MFD Rule				Existing IDPC Rule				Existing NI 31-103 requirement			New DC Rule			
Number and Title	Section reference	Subsection reference	Cross reference	Number and Title	Section reference	Subsection reference	Cross reference	Number and Title	Section reference	Subsection reference	Number and Title	Section reference	Subsection reference	Proposed Action
No equivalent section (NES)	NES			R. 5225. Commercial and corporate floating rate debt obligations not in default	5225	(1)(i)		No equivalent section (NES)		NES	R. 5225. Commercial and corporate floating rate debt obligations not in default	5225	(1)(i)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES			R. 5225. Commercial and corporate floating rate debt obligations not in default	5225	(1)(ii)		No equivalent section (NES)		NES	R. 5225. Commercial and corporate floating rate debt obligations not in default	5225	(1)(ii)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES			R. 5226. Commercial and corporate income bonds not in default	5226	(1)		No equivalent section (NES)		NES	R. 5226. Commercial and corporate income bonds not in default	5226	(1)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES			R. 5226. Commercial and corporate income bonds not in default	5226	(2)(i)		No equivalent section (NES)		NES	R. 5226. Commercial and corporate income bonds not in default	5226	(2)(i)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES			R. 5226. Commercial and corporate income bonds not in default	5226	(2)(ii)		No equivalent section (NES)		NES	R. 5226. Commercial and corporate income bonds not in default	5226	(2)(ii)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES			R. 5227. Commercial and corporate mortgage-backed securities	5227	(1)		No equivalent section (NES)		NES	R. 5227. Commercial and corporate mortgage-backed securities	5227	(1)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES			R. 5228 - 5229. Reserved.	5228 - 5229			No equivalent section (NES)		NES	R. 5228 - 5229. Reserved.	5228 - 5229		Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES			R. 5230. Debt securities in default	5230	(1)		No equivalent section (NES)		NES	R. 5230. Debt securities in default	5230	(1)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES			R. 5231 - 5239. Reserved.	5231 - 5239			No equivalent section (NES)		NES	R. 5231 - 5239. Reserved.	5231 - 5239		Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES			R. 5240. Circumstances under which debt margin surcharge is imposed	5240	(1)		No equivalent section (NES)		NES	R. 5240. Circumstances under which debt margin surcharge is imposed	5240	(1)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES			R. 5240. Circumstances under which debt margin surcharge is imposed	5240	(2)		No equivalent section (NES)		NES	R. 5240. Circumstances under which debt margin surcharge is imposed	5240	(2)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES			R. 5240. Circumstances under which debt margin surcharge is imposed	5240	(3)(i)		No equivalent section (NES)		NES	R. 5240. Circumstances under which debt margin surcharge is imposed	5240	(3)(i)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES			R. 5240. Circumstances under which debt margin surcharge is imposed	5240	(3)(ii)		No equivalent section (NES)		NES	R. 5240. Circumstances under which debt margin surcharge is imposed	5240	(3)(ii)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES			R. 5240. Circumstances under which debt margin surcharge is imposed	5240	(4)		No equivalent section (NES)		NES	R. 5240. Circumstances under which debt margin surcharge is imposed	5240	(4)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES			R. 5241. Determining debt margin surcharge	5241	(1)		No equivalent section (NES)		NES	R. 5241. Determining debt margin surcharge	5241	(1)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES			R. 5241. Determining debt margin surcharge	5241	(2)(i)		No equivalent section (NES)		NES	R. 5241. Determining debt margin surcharge	5241	(2)(i)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES			R. 5241. Determining debt margin surcharge	5241	(2)(ii)		No equivalent section (NES)		NES	R. 5241. Determining debt margin surcharge	5241	(2)(ii)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES			R. 5241. Determining debt margin surcharge	5241	(2)(iii)		No equivalent section (NES)		NES	R. 5241. Determining debt margin surcharge	5241	(2)(iii)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES			R. 5241. Determining debt margin surcharge	5241	(3)(i)		No equivalent section (NES)		NES	R. 5241. Determining debt margin surcharge	5241	(3)(i)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES			R. 5241. Determining debt margin surcharge	5241	(3)(ii)		No equivalent section (NES)		NES	R. 5241. Determining debt margin surcharge	5241	(3)(ii)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES			R. 5241. Determining debt margin surcharge	5241	(3)(iii)		No equivalent section (NES)		NES	R. 5241. Determining debt margin surcharge	5241	(3)(iii)	Adopt existing IDPC Rule provision.

Existing MFD Rule				Existing IDPC Rule				Existing NI 31-103 requirement			New DC Rule			
Number and Title	Section reference	Subsection reference	Cross reference	Number and Title	Section reference	Subsection reference	Cross reference	Number and Title	Section reference	Subsection reference	Number and Title	Section reference	Subsection reference	Proposed Action
No equivalent section (NES)	NES			R. 5241. Determining debt margin surcharge	5241	(3)(iv)		No equivalent section (NES)	NES		R. 5241. Determining debt margin surcharge	5241	(3)(iv)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES			R. 5241. Determining debt margin surcharge	5241	(3)(v)		No equivalent section (NES)	NES		R. 5241. Determining debt margin surcharge	5241	(3)(v)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES			R. 5241. Determining debt margin surcharge	5241	(3)(vi)		No equivalent section (NES)	NES		R. 5241. Determining debt margin surcharge	5241	(3)(vi)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES			R. 5241. Determining debt margin surcharge	5241	(3)(vii)		No equivalent section (NES)	NES		R. 5241. Determining debt margin surcharge	5241	(3)(vii)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES			R. 5241. Determining debt margin surcharge	5241	(4)		No equivalent section (NES)	NES		R. 5241. Determining debt margin surcharge	5241	(4)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES			R. 5242 - 5249. Reserved.	5242 - 5249			No equivalent section (NES)	NES		R. 5242 - 5249. Reserved.	5242 - 5249		Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES			R. 5250. Mortgages	5250	(1)		Form 31-103F1	Schedule 1 (calculating line 9 market risk)	(2)(f)	R. 5250. Mortgages	5250	(1)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES			R. 5250. Mortgages	5250	(2)		No equivalent section (NES)	NES		R. 5250. Mortgages	5250	(2)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES			R. 5251 - 5299. Reserved.	5251 - 5299			No equivalent section (NES)	NES		R. 5251 - 5299. Reserved.	5251 - 5299		Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES			R. 5301. Introduction	5301	(1)(i)		No equivalent section (NES)	NES		R. 5301. Introduction	5301	(1)(i)	Adopt existing IDPC Rule introduction section.
No equivalent section (NES)	NES			R. 5301. Introduction	5301	(1)(ii)		No equivalent section (NES)	NES		R. 5301. Introduction	5301	(1)(ii)	Adopt existing IDPC Rule introduction section.
No equivalent section (NES)	NES			R. 5301. Introduction	5301	(1)(iii)		No equivalent section (NES)	NES		R. 5301. Introduction	5301	(1)(iii)	Adopt existing IDPC Rule introduction section.
No equivalent section (NES)	NES			R. 5301. Introduction	5301	(1)(iv)		No equivalent section (NES)	NES		R. 5301. Introduction	5301	(1)(iv)	Adopt existing IDPC Rule introduction section.
No equivalent section (NES)	NES			R. 5301. Introduction	5301	(1)(v)		No equivalent section (NES)	NES		R. 5301. Introduction	5301	(1)(v)	Adopt existing IDPC Rule introduction section.
No equivalent section (NES)	NES			R. 5301. Introduction	5301	(1)(vi)		No equivalent section (NES)	NES		R. 5301. Introduction	5301	(1)(vi)	Adopt existing IDPC Rule introduction section.
No equivalent section (NES)	NES			R. 5301. Introduction	5301	(1)(vii)		No equivalent section (NES)	NES		R. 5301. Introduction	5301	(1)(vii)	Adopt existing IDPC Rule introduction section.
No equivalent section (NES)	NES			R. 5301. Introduction	5301	(2)		No equivalent section (NES)	NES		R. 5301. Introduction	5301	(2)	Adopt existing IDPC Rule introduction section.
No equivalent section (NES)	NES			R. 5301. Introduction	5301	(3)		No equivalent section (NES)	NES		R. 5301. Introduction	5301	(3)	Adopt existing IDPC Rule introduction section.
No equivalent section (NES)	NES			R. 5301. Introduction	5301	(4)		No equivalent section (NES)	NES		R. 5301. Introduction	5301	(4)	Adopt existing IDPC Rule introduction section.
No equivalent section (NES)	NES			R. 5302 - 5309. Reserved.	5302 - 5309			No equivalent section (NES)	NES		R. 5302 - 5309. Reserved.	5302 - 5309		Adopt existing IDPC Rule provision.
Form 1	Schedule 1 Notes and Instructions	1(d)		R. 5310. Determining the basic margin requirement	5310	(1)		Form 31-103F1	Schedule 1 (calculating line 9 market risk)	(2)(e)	R. 5310. Determining the basic margin requirement	5310	(1)	Adopt a revised version of existing IDPC Rule which corrects capitalization

Existing MFD Rule				Existing IDPC Rule				Existing NI 31-103 requirement			New DC Rule			
Number and Title	Section reference	Subsection reference	Cross reference	Number and Title	Section reference	Subsection reference	Cross reference	Number and Title	Section reference	Subsection reference	Number and Title	Section reference	Subsection reference	Proposed Action
No equivalent section (NES)	NES			R. 5311. Canada and United States equity securities eligible for margin	5311	(1)		No equivalent section (NES)	NES		R. 5311. Canada and United States equity securities eligible for margin	5311	(1)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES			R. 5311. Canada and United States equity securities eligible for margin	5311	(2)		No equivalent section (NES)	NES		R. 5311. Canada and United States equity securities eligible for margin	5311	(2)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES			R. 5312. Foreign listed equity securities eligible for margin	5312	(1)		Form 31-103F1	Schedule 1 (calculating line 9 market risk)	(2)(e)(i)	R. 5312. Foreign listed equity securities eligible for margin	5312	(1)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES			R. 5313. Government guaranteed equity securities	5313	(1)		No equivalent section (NES)	NES		R. 5313. Government guaranteed equity securities	5313	(1)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES			R. 5314. Floating rate preferred shares	5314	(1)		No equivalent section (NES)	NES		R. 5314. Floating rate preferred shares	5314	(1)	Adopt existing IDPC Rule provision.
Form 1	Schedule 1 Notes and Instructions	1(d)		R. 5315. Other equity securities	5315	(1)		Form 31-103F1	Schedule 1 (calculating line 9 market risk)	(2)(g)	R. 5315. Other equity securities	5315	(1)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES			R. 5316. - 5319. Reserved.	5316-5319			No equivalent section (NES)	NES		R. 5316. - 5319. Reserved.	5316-5319		Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES			R. 5320. Installment receipts	5320	(1)		No equivalent section (NES)	NES		R. 5320. Installment receipts	5320	(1)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES			R. 5320. Installment receipts	5320	(2)		No equivalent section (NES)	NES		R. 5320. Installment receipts	5320	(2)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES			R. 5320. Installment receipts	5320	(3)		No equivalent section (NES)	NES		R. 5320. Installment receipts	5320	(3)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES			R. 5320. Installment receipts	5320	(4)		No equivalent section (NES)	NES		R. 5320. Installment receipts	5320	(4)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES			R. 5320. Installment receipts	5320	(5)		No equivalent section (NES)	NES		R. 5320. Installment receipts	5320	(5)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES			R. 5320. Installment receipts	5320	(5)(i)		No equivalent section (NES)	NES		R. 5320. Installment receipts	5320	(5)(i)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES			R. 5320. Installment receipts	5320	(5)(ii)(a)		No equivalent section (NES)	NES		R. 5320. Installment receipts	5320	(5)(ii)(a)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES			R. 5320. Installment receipts	5320	(5)(ii)(b)		No equivalent section (NES)	NES		R. 5320. Installment receipts	5320	(5)(ii)(b)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES			R. 5320. Installment receipts	5320	(5)(iii)(a)		No equivalent section (NES)	NES		R. 5320. Installment receipts	5320	(5)(iii)(a)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES			R. 5320. Installment receipts	5320	(5)(iii)(b)		No equivalent section (NES)	NES		R. 5320. Installment receipts	5320	(5)(iii)(b)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES			R. 5320. Installment receipts	5320	(6)		No equivalent section (NES)	NES		R. 5320. Installment receipts	5320	(6)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES			R. 5321. - 5329. Reserved.	5321-5329			No equivalent section (NES)	NES		R. 5321. - 5329. Reserved.	5321-5329		Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES			R. 5330. Convertible and exchangeable equities	5330	(1)		No equivalent section (NES)	NES		R. 5330. Convertible and exchangeable equities	5330	(1)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES			R. 5331. - 5339. Reserved.	5331-5339			No equivalent section (NES)	NES		R. 5331. - 5339. Reserved.	5331-5339		Adopt existing IDPC Rule provision.

Existing MFD Rule				Existing IDPC Rule				Existing NI 31-103 requirement			New DC Rule			
Number and Title	Section reference	Subsection reference	Cross reference	Number and Title	Section reference	Subsection reference	Cross reference	Number and Title	Section reference	Subsection reference	Number and Title	Section reference	Subsection reference	Proposed Action
No equivalent section (NES)	NES			R. 5340. Control blocks	5340	(1)		No equivalent section (NES)	NES		R. 5340. Control blocks	5340	(1)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES			R. 5341. - 5349. Reserved.	5341-5349			No equivalent section (NES)	NES		R. 5341. - 5349. Reserved.	5341-5349		Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES			R. 5350. Canada and United States rights and warrants eligible for margin	5350	(1)(i)		No equivalent section (NES)	NES		R. 5350. Canada and United States rights and warrants eligible for margin	5350	(1)(i)	Adopt a revised version of existing IDPC Rule which includes reference to acceptable exchange
Form 1	Schedule 1 Notes and Instructions	1(d)		R. 5350. Canada and United States rights and warrants eligible for margin	5350	(1)(ii)		Form 31-103F1	Schedule 1 (calculating line 9 market risk)	(2)(e)	R. 5350. Canada and United States rights and warrants eligible for margin	5350	(1)(iii)	Adopt a revised version of existing IDPC Rule which includes reference to acceptable exchange
No equivalent section (NES)	NES			R. 5351. - 5359. Reserved.	5351-5359			No equivalent section (NES)	NES		R. 5351. - 5359. Reserved.	5351-5359		Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES			R. 5360 . Index participation units and qualifying baskets of index securities	5360	(1)		No equivalent section (NES)	NES		R. 5360 . Index participation units and qualifying baskets of index securities	5360	(1)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES			R. 5360 . Index participation units and qualifying baskets of index securities	5360	(2)		No equivalent section (NES)	NES		R. 5360 . Index participation units and qualifying baskets of index securities	5360	(2)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES			R. 5360 . Index participation units and qualifying baskets of index securities	5360	(3)(i)		No equivalent section (NES)	NES		R. 5360 . Index participation units and qualifying baskets of index securities	5360	(3)(i)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES			R. 5360 . Index participation units and qualifying baskets of index securities	5360	(3)(ii)		No equivalent section (NES)	NES		R. 5360 . Index participation units and qualifying baskets of index securities	5360	(3)(ii)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES			R. 5360 . Index participation units and qualifying baskets of index securities	5360	(4)(i)		No equivalent section (NES)	NES		R. 5360 . Index participation units and qualifying baskets of index securities	5360	(4)(i)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES			R. 5360 . Index participation units and qualifying baskets of index securities	5360	(4)(ii)		No equivalent section (NES)	NES		R. 5360 . Index participation units and qualifying baskets of index securities	5360	(4)(ii)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES			R. 5360 . Index participation units and qualifying baskets of index securities	5360	(5)(i)		No equivalent section (NES)	NES		R. 5360 . Index participation units and qualifying baskets of index securities	5360	(5)(i)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES			R. 5360 . Index participation units and qualifying baskets of index securities	5360	(5)(ii)		No equivalent section (NES)	NES		R. 5360 . Index participation units and qualifying baskets of index securities	5360	(5)(ii)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES			R. 5360 . Index participation units and qualifying baskets of index securities	5360	(5)(iii)		No equivalent section (NES)	NES		R. 5360 . Index participation units and qualifying baskets of index securities	5360	(5)(iii)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES			R. 5360 . Index participation units and qualifying baskets of index securities	5360	(5)(iv)		No equivalent section (NES)	NES		R. 5360 . Index participation units and qualifying baskets of index securities	5360	(5)(iv)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES			R. 5360 . Index participation units and qualifying baskets of index securities	5360	(6)(i)		No equivalent section (NES)	NES		R. 5360 . Index participation units and qualifying baskets of index securities	5360	(6)(i)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES			R. 5360 . Index participation units and qualifying baskets of index securities	5360	(6)(ii)		No equivalent section (NES)	NES		R. 5360 . Index participation units and qualifying baskets of index securities	5360	(6)(ii)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES			R. 5360 . Index participation units and qualifying baskets of index securities	5360	(6)(iii)		No equivalent section (NES)	NES		R. 5360 . Index participation units and qualifying baskets of index securities	5360	(6)(iii)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES			R. 5360 . Index participation units and qualifying baskets of index securities	5360	(6)(iv)(a)		No equivalent section (NES)	NES		R. 5360 . Index participation units and qualifying baskets of index securities	5360	(6)(iv)(a)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES			R. 5360 . Index participation units and qualifying baskets of index securities	5360	(6)(iv)(b)		No equivalent section (NES)	NES		R. 5360 . Index participation units and qualifying baskets of index securities	5360	(6)(iv)(b)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES			R. 5360 . Index participation units and qualifying baskets of index securities	5360	(6)(iv)(c)		No equivalent section (NES)	NES		R. 5360 . Index participation units and qualifying baskets of index securities	5360	(6)(iv)(c)	Adopt existing IDPC Rule provision.

Existing MFD Rule				Existing IDPC Rule				Existing NI 31-103 requirement			New DC Rule			
Number and Title	Section reference	Subsection reference	Cross reference	Number and Title	Section reference	Subsection reference	Cross reference	Number and Title	Section reference	Subsection reference	Number and Title	Section reference	Subsection reference	Proposed Action
No equivalent section (NES)	NES			R. 5360 . Index participation units and qualifying baskets of index securities	5360	(6)(v)		No equivalent section (NES)		NES	R. 5360 . Index participation units and qualifying baskets of index securities	5360	(6)(v)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES			R. 5360 . Index participation units and qualifying baskets of index securities	5360	(7)(i)(a)		No equivalent section (NES)		NES	R. 5360 . Index participation units and qualifying baskets of index securities	5360	(7)(i)(a)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES			R. 5360 . Index participation units and qualifying baskets of index securities	5360	(7)(i)(b)		No equivalent section (NES)		NES	R. 5360 . Index participation units and qualifying baskets of index securities	5360	(7)(i)(b)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES			R. 5360 . Index participation units and qualifying baskets of index securities	5360	(7)(ii)		No equivalent section (NES)		NES	R. 5360 . Index participation units and qualifying baskets of index securities	5360	(7)(ii)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES			R. 5360 . Index participation units and qualifying baskets of index securities	5360	(8)		No equivalent section (NES)		NES	R. 5360 . Index participation units and qualifying baskets of index securities	5360	(8)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES			R. 5361. - 5369. Reserved.	5361-5369			No equivalent section (NES)		NES	R. 5361. - 5369. Reserved.	5361-5369		Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES			R. 5370. Securities held in a Trader's account	5370	(1)(i)		No equivalent section (NES)		NES	R. 5370. Securities held in a Trader's account	5370	(1)(i)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES			R. 5370. Securities held in a Trader's account	5370	(1)(ii)		No equivalent section (NES)		NES	R. 5370. Securities held in a Trader's account	5370	(1)(ii)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES			R. 5370. Securities held in a Trader's account	5370	(1)(iii)		No equivalent section (NES)		NES	R. 5370. Securities held in a Trader's account	5370	(1)(iii)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES			R. 5370. Securities held in a Trader's account	5370	(1)(iv)		No equivalent section (NES)		NES	R. 5370. Securities held in a Trader's account	5370	(1)(iv)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES			R. 5370. Securities held in a Trader's account	5370	(2)(i)		No equivalent section (NES)		NES	R. 5370. Securities held in a Trader's account	5370	(2)(i)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES			R. 5370. Securities held in a Trader's account	5370	(2)(ii)		No equivalent section (NES)		NES	R. 5370. Securities held in a Trader's account	5370	(2)(ii)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES			R. 5370. Securities held in a Trader's account	5370	(3)		No equivalent section (NES)		NES	R. 5370. Securities held in a Trader's account	5370	(3)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES			R. 5371. - 5399. Reserved.	5371-5399			No equivalent section (NES)		NES	R. 5371. - 5399. Reserved.	5371-5399		Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES			R. 5401. Introduction	5401	(1)(i)		No equivalent section (NES)		NES	R. 5401. Introduction	5401	(1)(i)	Adopt existing IDPC Rule introduction section.
No equivalent section (NES)	NES			R. 5401. Introduction	5401	(1)(ii)		No equivalent section (NES)		NES	R. 5401. Introduction	5401	(1)(ii)	Adopt existing IDPC Rule introduction section.
No equivalent section (NES)	NES			R. 5401. Introduction	5401	(1)(iii)		No equivalent section (NES)		NES	R. 5401. Introduction	5401	(1)(iii)	Adopt existing IDPC Rule introduction section.
No equivalent section (NES)	NES			R. 5401. Introduction	5401	(1)(iv)		No equivalent section (NES)		NES	R. 5401. Introduction	5401	(1)(iv)	Adopt existing IDPC Rule introduction section.
No equivalent section (NES)	NES			R. 5401. Introduction	5401	(1)(v)		No equivalent section (NES)		NES	R. 5401. Introduction	5401	(1)(v)	Adopt existing IDPC Rule introduction section.
No equivalent section (NES)	NES			No equivalent section (NES)	NES			No equivalent section (NES)		NES	R. 5401. Introduction	5401	(1)(vi)	Adopt proposed Margin for Structured Products Project revisions.
No equivalent section (NES)	NES			R. 5401. Introduction	5401	(1)(vi)		No equivalent section (NES)		NES	R. 5401. Introduction	5401	(1)(vii)	Adopt existing IDPC Rule clause 5401(1)(vii) introduction section to reflect proposed Margin for Structured Products Project revisions.

Existing MFD Rule				Existing IDPC Rule				Existing NI 31-103 requirement			New DC Rule			
Number and Title	Section reference	Subsection reference	Cross reference	Number and Title	Section reference	Subsection reference	Cross reference	Number and Title	Section reference	Subsection reference	Number and Title	Section reference	Subsection reference	Proposed Action
No equivalent section (NES)	NES			R. 5402. - 5409. Reserved.	5402-5409			No equivalent section (NES)	NES		R. 5402. - 5409. Reserved.	5402-5409		Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES			R. 5410. Securities subject to redemption call or offer	5410	(1)		No equivalent section (NES)	NES		R. 5410. Securities subject to redemption call or offer	5410	(1)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES			R. 5411. - 5419. Reserved.	5411-5419			No equivalent section (NES)	NES		R. 5411. - 5419. Reserved.	5411-5419		Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES			R. 5420. Units	5420	(1)		No equivalent section (NES)	NES		R. 5420. Units	5420	(1)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES			R. 5421. - 5429. Reserved.	5421-5429			No equivalent section (NES)	NES		R. 5421. - 5429. Reserved.	5421-5429		Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES			R. 5430. Precious metal certificates and bullion	5430	(1)		No equivalent section (NES)	NES		R. 5430. Precious metal certificates and bullion	5430	(1)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES			R. 5430. Precious metal certificates and bullion	5430	(2)		No equivalent section (NES)	NES		R. 5430. Precious metal certificates and bullion	5430	(2)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES			R. 5431. - 5439. Reserved.	5431-5439			No equivalent section (NES)	NES		R. 5431. - 5439. Reserved.	5431-5439		Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES			R. 5440. Interest rate swaps	5440	(1)(i)		No equivalent section (NES)	NES		R. 5440. Interest rate swaps	5440	(1)(i)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES			R. 5440. Interest rate swaps	5440	(1)(ii)		No equivalent section (NES)	NES		R. 5440. Interest rate swaps	5440	(1)(ii)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES			R. 5441. Total performance swaps	5441	(1)(i)		No equivalent section (NES)	NES		R. 5441. Total performance swaps	5441	(1)(i)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES			R. 5441. Total performance swaps	5441	(1)(ii)		No equivalent section (NES)	NES		R. 5441. Total performance swaps	5441	(1)(ii)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES			R. 5442. Swap counterparty margin requirements	5442	(1)(i)		No equivalent section (NES)	NES		R. 5442. Swap counterparty margin requirements	5442	(1)(i)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES			R. 5442. Swap counterparty margin requirements	5442	(1)(ii)		No equivalent section (NES)	NES		R. 5442. Swap counterparty margin requirements	5442	(1)(ii)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES			R. 5442. Swap counterparty margin requirements	5442	(1)(iii)		No equivalent section (NES)	NES		R. 5442. Swap counterparty margin requirements	5442	(1)(iii)	Adopt a revised version of existing IDPC Rule which is materially the same
No equivalent section (NES)	NES			R. 5442. Swap counterparty margin requirements	5442	(2)(i)		No equivalent section (NES)	NES		R. 5442. Swap counterparty margin requirements	5442	(2)(i)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES			R. 5442. Swap counterparty margin requirements	5442	(2)(ii)		No equivalent section (NES)	NES		R. 5442. Swap counterparty margin requirements	5442	(2)(ii)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES			R. 5443. - 5449. Reserved.	5443-5449			No equivalent section (NES)	NES		R. 5443. - 5449. Reserved.	5443-5449		Adopt existing IDPC Rule provision.
Form 1	Schedule 1 Notes and Instructions	(1)(c)		R. 5450. Margin requirements for mutual fund positions	5450	(1)(i)		Form 31-103F1	Schedule 1 (calculating line 9 market risk)	(2)(d)(i)	R. 5450. Margin requirements for mutual fund positions	5450	(1)(i)	Adopt existing IDPC Rule provision.
Form 1	Schedule 1 Notes and Instructions	(1)(c)		R. 5450. Margin requirements for mutual fund positions	5450	(1)(ii)		Form 31-103F1	Schedule 1 (calculating line 9 market risk)	(2)(d)(ii)	R. 5450. Margin requirements for mutual fund positions	5450	(1)(ii)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES			R. 5451. - 5459. Reserved.	5451-5459			No equivalent section (NES)	NES		R. 5451. - 5454. Reserved.	5451-5454		Adopt a revised version of existing IDPC Rule to reflect proposed Margin for Structured Products Project revisions.

Existing MFD Rule				Existing IDPC Rule				Existing NI 31-103 requirement			New DC Rule			
Number and Title	Section reference	Subsection reference	Cross reference	Number and Title	Section reference	Subsection reference	Cross reference	Number and Title	Section reference	Subsection reference	Number and Title	Section reference	Subsection reference	Proposed Action
No equivalent section (NES)	NES			R. 5461. Foreign exchange currency group criteria and monitoring	5461	(1)(iii)(b)		No equivalent section (NES)		NES	R. 5461. Foreign exchange currency group criteria and monitoring	5461	(1)(iii)(b)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES			R. 5461. Foreign exchange currency group criteria and monitoring	5461	(1)(iii)(c)		No equivalent section (NES)		NES	R. 5461. Foreign exchange currency group criteria and monitoring	5461	(1)(iii)(c)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES			R. 5461. Foreign exchange currency group criteria and monitoring	5461	(1)(iv)		No equivalent section (NES)		NES	R. 5461. Foreign exchange currency group criteria and monitoring	5461	(1)(iv)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES			R. 5461. Foreign exchange currency group criteria and monitoring	5461	(2)		No equivalent section (NES)		NES	R. 5461. Foreign exchange currency group criteria and monitoring	5461	(2)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES			R. 5461. Foreign exchange currency group criteria and monitoring	5461	(3)(i)		No equivalent section (NES)		NES	R. 5461. Foreign exchange currency group criteria and monitoring	5461	(3)(i)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES			R. 5461. Foreign exchange currency group criteria and monitoring	5461	(3)(ii)		No equivalent section (NES)		NES	R. 5461. Foreign exchange currency group criteria and monitoring	5461	(3)(ii)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES			R. 5462. Spot risk margin rate	5462	(1)		No equivalent section (NES)		NES	R. 5462. Spot risk margin rate	5462	(1)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES			R. 5462. Spot risk margin rate	5462	(2)		No equivalent section (NES)		NES	R. 5462. Spot risk margin rate	5462	(2)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES			R. 5463. Spot risk margin requirement	5463	(1)		No equivalent section (NES)		NES	R. 5463. Spot risk margin requirement	5463	(1)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES			R. 5463. Spot risk margin requirement	5463	(2)		No equivalent section (NES)		NES	R. 5463. Spot risk margin requirement	5463	(2)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES			R. 5464. Term risk margin requirement	5464	(1)		No equivalent section (NES)		NES	R. 5464. Term risk margin requirement	5464	(1)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES			R. 5464. Term risk margin requirement	5464	(2)		No equivalent section (NES)		NES	R. 5464. Term risk margin requirement	5464	(2)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES			R. 5465. Maximum security margin requirement	5465	(1)(i)		No equivalent section (NES)		NES	R. 5465. Maximum security margin requirement	5465	(1)(i)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES			R. 5465. Maximum security margin requirement	5465	(1)(ii)		No equivalent section (NES)		NES	R. 5465. Maximum security margin requirement	5465	(1)(ii)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES			R. 5465. Maximum security margin requirement	5465	(1)(iii)		No equivalent section (NES)		NES	R. 5465. Maximum security margin requirement	5465	(1)(iii)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES			R. 5466. Foreign exchange position offsets for Dealer Members	5466	(1)		No equivalent section (NES)		NES	R. 5466. Foreign exchange position offsets for Dealer Members	5466	(1)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES			R. 5466. Foreign exchange position offsets for Dealer Members	5466	(2)		No equivalent section (NES)		NES	R. 5466. Foreign exchange position offsets for Dealer Members	5466	(2)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES			R. 5466. Foreign exchange position offsets for Dealer Members	5466	(3)		No equivalent section (NES)		NES	R. 5466. Foreign exchange position offsets for Dealer Members	5466	(3)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES			R. 5467. Alternative calculation approach for Dealer Member foreign exchange positions	5467	(1)(i)		No equivalent section (NES)		NES	R. 5467. Alternative calculation approach for Dealer Member foreign exchange positions	5467	(1)(i)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES			R. 5467. Alternative calculation approach for Dealer Member foreign exchange positions	5467	(1)(ii)(a)		No equivalent section (NES)		NES	R. 5467. Alternative calculation approach for Dealer Member foreign exchange positions	5467	(1)(ii)(a)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES			R. 5467. Alternative calculation approach for Dealer Member foreign exchange positions	5467	(1)(ii)(b)		No equivalent section (NES)		NES	R. 5467. Alternative calculation approach for Dealer Member foreign exchange positions	5467	(1)(ii)(b)	Adopt a revised version of existing IDPC Rule which is materially the same

Existing MFD Rule				Existing IDPC Rule				Existing NI 31-103 requirement			New DC Rule			
Number and Title	Section reference	Subsection reference	Cross reference	Number and Title	Section reference	Subsection reference	Cross reference	Number and Title	Section reference	Subsection reference	Number and Title	Section reference	Subsection reference	Proposed Action
No equivalent section (NES)	NES			R. 5467. Alternative calculation approach for Dealer Member foreign exchange positions	5467	(1)(iii)(a)(i)		No equivalent section (NES)		NES	R. 5467. Alternative calculation approach for Dealer Member foreign exchange positions	5467	(1)(iii)(a)(i)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES			R. 5467. Alternative calculation approach for Dealer Member foreign exchange positions	5467	(1)(iii)(a)(ii)		No equivalent section (NES)		NES	R. 5467. Alternative calculation approach for Dealer Member foreign exchange positions	5467	(1)(iii)(a)(ii)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES			R. 5467. Alternative calculation approach for Dealer Member foreign exchange positions	5467	(1)(iii)(b)		No equivalent section (NES)		NES	R. 5467. Alternative calculation approach for Dealer Member foreign exchange positions	5467	(1)(iii)(b)	Adopt a revised version of existing IDPC Rule which is materially the same
No equivalent section (NES)	NES			R. 5468. Client account margin requirements	5468	(1)(i)(a)		No equivalent section (NES)		NES	R. 5468. Client account margin requirements	5468	(1)(i)(a)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES			R. 5468. Client account margin requirements	5468	(1)(i)(b)		No equivalent section (NES)		NES	R. 5468. Client account margin requirements	5468	(1)(i)(b)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES			R. 5468. Client account margin requirements	5468	(1)(i)		No equivalent section (NES)		NES	R. 5468. Client account margin requirements	5468	(1)(i)	Adopt a revised version of existing IDPC Rule which is materially the same
No equivalent section (NES)	NES			R. 5468. Client account margin requirements	5468	(1)(iii)		No equivalent section (NES)		NES	R. 5468. Client account margin requirements	5468	(1)(iii)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES			R. 5469. Foreign exchange concentration charge	5469	(1)		No equivalent section (NES)		NES	R. 5469. Foreign exchange concentration charge	5469	(1)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES			R. 5469. Foreign exchange concentration charge	5469	(2)		No equivalent section (NES)		NES	R. 5469. Foreign exchange concentration charge	5469	(2)(i)	Adopt and renumber existing IDPC Rule subsection 5469(2) as clause 5469(2)(i) which applies to Investment Dealer Members
No equivalent section (NES)	NES			No equivalent section (NES)	NES			No equivalent section (NES)		NES	R. 5469. Foreign exchange concentration charge	5469	(2)(ii)	Adopt new IDPC Rule clause 5469(2)(ii) which applies to Mutual Fund Dealer Members
No equivalent section (NES)	NES			R. 5470. ~ 5499. Reserved.	5470-5499			No equivalent section (NES)		NES	R. 5470. ~ 5499. Reserved.	5470-5499		Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES			R. 5501. Introduction	5501	(1)(i)		No equivalent section (NES)		NES	R. 5501. Introduction	5501	(1)	Adopt existing IDPC Rule introduction section.
No equivalent section (NES)	NES			R. 5501. Introduction	5501	(1)(ii)(a)		No equivalent section (NES)		NES	R. 5501. Introduction	5501	(1)(ii)(a)	Adopt existing IDPC Rule introduction section.
No equivalent section (NES)	NES			R. 5501. Introduction	5501	(1)(ii)(b)		No equivalent section (NES)		NES	R. 5501. Introduction	5501	(1)(ii)(b)	Adopt existing IDPC Rule introduction section.
No equivalent section (NES)	NES			R. 5501. Introduction	5501	(1)(ii)(c)		No equivalent section (NES)		NES	R. 5501. Introduction	5501	(1)(ii)(c)	Adopt existing IDPC Rule introduction section.
No equivalent section (NES)	NES			R. 5501. Introduction	5501	(1)(ii)(d)		No equivalent section (NES)		NES	R. 5501. Introduction	5501	(1)(ii)(d)	Adopt existing IDPC Rule introduction section.
No equivalent section (NES)	NES			R. 5501. Introduction	5501	(1)(ii)(e)		No equivalent section (NES)		NES	R. 5501. Introduction	5501	(1)(ii)(e)	Adopt existing IDPC Rule introduction section.
No equivalent section (NES)	NES			R. 5501. Introduction	5501	(1)(iii)		No equivalent section (NES)		NES	R. 5501. Introduction	5501	(1)(iii)	Adopt existing IDPC Rule introduction section.
No equivalent section (NES)	NES			R. 5501. Introduction	5501	(1)(iv)		No equivalent section (NES)		NES	R. 5501. Introduction	5501	(1)(iv)	Adopt existing IDPC Rule introduction section.
No equivalent section (NES)	NES			R. 5501. Introduction	5501	(1)(v)		No equivalent section (NES)		NES	R. 5501. Introduction	5501	(1)(v)	Adopt existing IDPC Rule introduction section.
No equivalent section (NES)	NES			R. 5501. Introduction	5501	(1)(vi)		No equivalent section (NES)		NES	R. 5501. Introduction	5501	(1)(vi)	Adopt existing IDPC Rule introduction section.

Existing MFD Rule				Existing IDPC Rule				Existing NI 31-103 requirement			New DC Rule			
Number and Title	Section reference	Subsection reference	Cross reference	Number and Title	Section reference	Subsection reference	Cross reference	Number and Title	Section reference	Subsection reference	Number and Title	Section reference	Subsection reference	Proposed Action
No equivalent section (NES)	NES			R. 5619. Reserved.	5619			No equivalent section (NES)	NES		R. 5619. Reserved.	5619		Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES			R. 5620. Summary reference tables	5620	(1)		No equivalent section (NES)	NES		R. 5620. Summary reference tables	5620	(1)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES			R. 5620. Summary reference tables	5620	(2)		No equivalent section (NES)	NES		R. 5620. Summary reference tables	5620	(2)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES			R. 5620. Summary reference tables	5620	(3)		No equivalent section (NES)	NES		R. 5620. Summary reference tables	5620	(3)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES			R. 5621. Commercial and corporate debt securities ofsame issuer with same maturity band	5621	(1)(i)		No equivalent section (NES)	NES		R. 5621. Commercial and corporate debt securities ofsame issuer with same maturity band	5621	(1)(i)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES			R. 5621. Commercial and corporate debt securities ofsame issuer with same maturity band	5621	(2)		No equivalent section (NES)	NES		R. 5621. Commercial and corporate debt securities ofsame issuer with same maturity band	5621	(2)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES			R. 5622. Offsets involving Canadian chartered bank acceptances and Canadian bankers acceptance futures contracts with same maturity bands	5622	(1)(i)		No equivalent section (NES)	NES		R. 5622. Offsets involving Canadian chartered bank acceptances and Canadian bankers acceptance futures contracts with same maturity bands	5622	(1)(i)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES			R. 5622. Offsets involving Canadian chartered bank acceptances and Canadian bankers acceptance futures contracts with same maturity bands	5622	(2)		No equivalent section (NES)	NES		R. 5622. Offsets involving Canadian chartered bank acceptances and Canadian bankers acceptance futures contracts with same maturity bands	5622	(2)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES			R. 5622. Offsets involving Canadian chartered bank acceptances and Canadian bankers acceptance futures contracts with same maturity bands	5622	(3)		No equivalent section (NES)	NES		R. 5622. Offsets involving Canadian chartered bank acceptances and Canadian bankers acceptance futures contracts with same maturity bands	5622	(3)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES			R. 5623. Offsets involving commercial and corporate debt securities and strip coupons or residuals	5623	(1)(i)		No equivalent section (NES)	NES		R. 5623. Offsets involving commercial and corporate debt securities and strip coupons or residuals	5623	(1)(i)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES			R. 5623. Offsets involving commercial and corporate debt securities and strip coupons or residuals	5623	(2)		No equivalent section (NES)	NES		R. 5623. Offsets involving commercial and corporate debt securities and strip coupons or residuals	5623	(2)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES			R. 5624. Offsets involving commercial and corporate debt securities and Government of Canada notional bond futures contracts	5624	(1)(i)		No equivalent section (NES)	NES		R. 5624. Offsets involving commercial and corporate debt securities and Government of Canada notional bond futures contracts	5624	(1)(i)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES			R. 5624. Offsets involving commercial and corporate debt securities and Government of Canada notional bond futures contracts	5624	(2)		No equivalent section (NES)	NES		R. 5624. Offsets involving commercial and corporate debt securities and Government of Canada notional bond futures contracts	5624	(2)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES			R. 5624. Offsets involving commercial and corporate debt securities and Government of Canada notional bond futures contracts	5624	(3)		No equivalent section (NES)	NES		R. 5624. Offsets involving commercial and corporate debt securities and Government of Canada notional bond futures contracts	5624	(3)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES			R. 5625. - 5629. Reserved.	5625-5629			No equivalent section (NES)	NES		R. 5625. - 5629. Reserved.	5625-5629		Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES			R. 5630. Summary reference table	5630	(1)		No equivalent section (NES)	NES		R. 5630. Summary reference table	5630	(1)	Adopt a revised version of existing IDPC Rule which is materially the same
No equivalent section (NES)	NES			R. 5631. Government and commercial corporate debt securities with same maturity band	5631	(1)(i)		No equivalent section (NES)	NES		R. 5631. Government and commercial corporate debt securities with same maturity band	5631	(1)(i)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES			R. 5631. Government and commercial corporate debt securities with same maturity band	5631	(1)(ii)		No equivalent section (NES)	NES		R. 5631. Government and commercial corporate debt securities with same maturity band	5631	(1)(ii)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES			R. 5631. Government and commercial corporate debt securities with same maturity band	5631	(1)(iii)		No equivalent section (NES)	NES		R. 5631. Government and commercial corporate debt securities with same maturity band	5631	(1)(iii)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES			R. 5631. Government and commercial corporate debt securities with same maturity band	5631	(2)		No equivalent section (NES)	NES		R. 5631. Government and commercial corporate debt securities with same maturity band	5631	(2)	Adopt existing IDPC Rule provision.

Existing MFD Rule				Existing IDPC Rule				Existing NI 31-103 requirement			New DC Rule			
Number and Title	Section reference	Subsection reference	Cross reference	Number and Title	Section reference	Subsection reference	Cross reference	Number and Title	Section reference	Subsection reference	Number and Title	Section reference	Subsection reference	Proposed Action
No equivalent section (NES)	NES			R. 5632. - 5639. Reserved.	5632-5639			No equivalent section (NES)	NES		R. 5632. - 5639. Reserved.	5632-5639		Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES			R. 5640. Summary reference table	5640	(1)		No equivalent section (NES)	NES		R. 5640. Summary reference table	5640	(1)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES			R. 5640. Summary reference table	5640	(2)(i)		No equivalent section (NES)	NES		R. 5640. Summary reference table	5640	(2)(i)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES			R. 5641. Offset where convertible security is held long and is currently convertible	5641	(1)(i)		No equivalent section (NES)	NES		R. 5641. Offset where convertible security is held long and is currently convertible	5641	(1)(i)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES			R. 5641. Offset where convertible security is held long and is currently convertible	5641	(1)(ii)		No equivalent section (NES)	NES		R. 5641. Offset where convertible security is held long and is currently convertible	5641	(1)(ii)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES			R. 5642. Offset where convertible security is held long and is not currently convertible	5642	(1)(i)		No equivalent section (NES)	NES		R. 5642. Offset where convertible security is held long and is not currently convertible	5642	(1)(i)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES			R. 5642. Offset where convertible security is held long and is not currently convertible	5642	(1)(ii)		No equivalent section (NES)	NES		R. 5642. Offset where convertible security is held long and is not currently convertible	5642	(1)(ii)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES			R. 5643. Offset where convertible security is held short	5643	(1)(i)		No equivalent section (NES)	NES		R. 5643. Offset where convertible security is held short	5643	(1)(i)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES			R. 5643. Offset where convertible security is held short	5643	(1)(ii)		No equivalent section (NES)	NES		R. 5643. Offset where convertible security is held short	5643	(1)(ii)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES			R. 5644. Offset relating to a pending amalgamation, acquisition, spin-off or other securities related reorganization transaction.	5644	(1)		No equivalent section (NES)	NES		R. 5644. Offset relating to a pending amalgamation, acquisition, spin-off or other securities related reorganization transaction.	5644	(1)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES			R. 5644. Offset relating to a pending amalgamation, acquisition, spin-off or other securities related reorganization transaction.	5644	(2)(i)		No equivalent section (NES)	NES		R. 5644. Offset relating to a pending amalgamation, acquisition, spin-off or other securities related reorganization transaction.	5644	(2)(i)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES			R. 5644. Offset relating to a pending amalgamation, acquisition, spin-off or other securities related reorganization transaction.	5644	(2)(ii)		No equivalent section (NES)	NES		R. 5644. Offset relating to a pending amalgamation, acquisition, spin-off or other securities related reorganization transaction.	5644	(2)(ii)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES			R. 5644. Offset relating to a pending amalgamation, acquisition, spin-off or other securities related reorganization transaction.	5644	(2)(iii)		No equivalent section (NES)	NES		R. 5644. Offset relating to a pending amalgamation, acquisition, spin-off or other securities related reorganization transaction.	5644	(2)(iii)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES			R. 5645. - 5649. Reserved.	5645-5649			No equivalent section (NES)	NES		R. 5645. - 5649. Reserved.	5645-5649		Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES			R. 5650. Summary reference tables	5650	(1)		No equivalent section (NES)	NES		R. 5650. Summary reference tables	5650	(1)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES			R. 5650. Summary reference tables	5650	(2)(i)		No equivalent section (NES)	NES		R. 5650. Summary reference tables	5650	(2)(i)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES			R. 5651. Offset involving long capital share and short underlying common share positions	5651	(1)(i)(a)(i)		No equivalent section (NES)	NES		R. 5651. Offset involving long capital share and short underlying common share positions	5651	(1)(i)(a)(i)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES			R. 5651. Offset involving long capital share and short underlying common share positions	5651	(1)(i)(a)(ii)		No equivalent section (NES)	NES		R. 5651. Offset involving long capital share and short underlying common share positions	5651	(1)(i)(a)(ii)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES			R. 5651. Offset involving long capital share and short underlying common share positions	5651	(1)(i)(b)		No equivalent section (NES)	NES		R. 5651. Offset involving long capital share and short underlying common share positions	5651	(1)(i)(b)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES			R. 5651. Offset involving long capital share and short underlying common share positions	5651	(1)(ii)		No equivalent section (NES)	NES		R. 5651. Offset involving long capital share and short underlying common share positions	5651	(1)(ii)	Adopt a revised version of existing IDPC Rule which is materially the same
No equivalent section (NES)	NES			R. 5652. Offset involving long capital share, long split share preferred share and short underlying common share positions.	5652	(1)(i)(a)		No equivalent section (NES)	NES		R. 5652. Offset involving long capital share, long split share preferred share and short underlying common share positions.	5652	(1)(i)(a)	Adopt existing IDPC Rule provision.

Existing MFD Rule				Existing IDPC Rule				Existing NI 31-103 requirement			New DC Rule			
Number and Title	Section reference	Subsection reference	Cross reference	Number and Title	Section reference	Subsection reference	Cross reference	Number and Title	Section reference	Subsection reference	Number and Title	Section reference	Subsection reference	Proposed Action
No equivalent section (NES)	NES			R. 5663. Offset where exercisable security is held short	5663	(1)(i)		No equivalent section (NES)	NES		R. 5663. Offset where exercisable security is held short	5663	(1)(i)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES			R. 5663. Offset where exercisable security is held short	5663	(1)(ii)		No equivalent section (NES)	NES		R. 5663. Offset where exercisable security is held short	5663	(1)(ii)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES			R. 5663. Offset where exercisable security is held short	5663	(1)(iii)		No equivalent section (NES)	NES		R. 5663. Offset where exercisable security is held short	5663	(1)(iii)	Adopt a revised version of existing IDPC Rule which is materially the same
No equivalent section (NES)	NES			R. 5664. - 5669. Reserved.	5664-5669			No equivalent section (NES)	NES		R. 5664. - 5669. Reserved.	5664-5669		Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES			R. 5670. Offsets involving callable, extendible and retractable debt securities	5670	(1)		No equivalent section (NES)	NES		R. 5670. Offsets involving callable, extendible and retractable debt securities	5670	(1)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES			R. 5671. Offsets involving Canadian government debt or Canadian listed equity securities and futures and forward contracts	5671	(1)		No equivalent section (NES)	NES		R. 5671. Offsets involving Canadian government debt or Canadian listed equity securities and futures and forward contracts	5671	(1)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES			R. 5672. - 5679. Reserved.	5672-5679			No equivalent section (NES)	NES		R. 5672. - 5679. Reserved.	5672-5679		Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES			R. 5680. Offset involving two interest rate swap positions	5680	(1)(i)		No equivalent section (NES)	NES		R. 5680. Offset involving two interest rate swap positions	5680	(1)(i)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES			R. 5680. Offset involving two interest rate swap positions	5680	(1)(ii)		No equivalent section (NES)	NES		R. 5680. Offset involving two interest rate swap positions	5680	(1)(ii)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES			R. 5681. Offsets involving interest rate swaps and federal government debt security positions	5681	(1)(i)		No equivalent section (NES)	NES		R. 5681. Offsets involving interest rate swaps and federal government debt security positions	5681	(1)(i)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES			R. 5681. Offsets involving interest rate swaps and federal government debt security positions	5681	(1)(ii)		No equivalent section (NES)	NES		R. 5681. Offsets involving interest rate swaps and federal government debt security positions	5681	(1)(ii)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES			R. 5681. Offsets involving interest rate swaps and federal government debt security positions	5681	(2)(i)		No equivalent section (NES)	NES		R. 5681. Offsets involving interest rate swaps and federal government debt security positions	5681	(2)(i)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES			R. 5681. Offsets involving interest rate swaps and federal government debt security positions	5681	(2)(ii)		No equivalent section (NES)	NES		R. 5681. Offsets involving interest rate swaps and federal government debt security positions	5681	(2)(ii)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES			R. 5682. Offset involving two total performance swap positions	5682	(1)(i)		No equivalent section (NES)	NES		R. 5682. Offset involving two total performance swap positions	5682	(1)(i)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES			R. 5682. Offset involving two total performance swap positions	5682	(1)(ii)		No equivalent section (NES)	NES		R. 5682. Offset involving two total performance swap positions	5682	(1)(ii)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES			R. 5683. Offsets involving total performance swaps and underlying security positions	5683	(1)(i)		No equivalent section (NES)	NES		R. 5683. Offsets involving total performance swaps and underlying security positions	5683	(1)(i)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES			R. 5683. Offsets involving total performance swaps and underlying security positions	5683	(1)(ii)		No equivalent section (NES)	NES		R. 5683. Offsets involving total performance swaps and underlying security positions	5683	(1)(ii)(a)	Adopt and renumber existing IDPC Rule clause 5683(1)(iii) as sub-clause 5683(1)(ii)(a)
No equivalent section (NES)	NES			R. 5683. Offsets involving total performance swaps and underlying security positions	5683	(1)(iii)(a)		No equivalent section (NES)	NES		R. 5683. Offsets involving total performance swaps and underlying security positions	5683	(1)(ii)(a)(i)	Adopt and renumber existing IDPC Rule sub-clause 5683(1)(iii)(a) as paragraph 5683(1)(ii)(a)(i)
No equivalent section (NES)	NES			R. 5683. Offsets involving total performance swaps and underlying security positions	5683	(1)(iii)(b)		No equivalent section (NES)	NES		R. 5683. Offsets involving total performance swaps and underlying security positions	5683	(1)(ii)(a)(ii)	Adopt and renumber existing IDPC Rule sub-clause 5683(1)(iii)(b) as paragraph 5683(1)(ii)(a)(ii)
No equivalent section (NES)	NES			R. 5683. Offsets involving total performance swaps and underlying security positions	5683	(1)(iv)		No equivalent section (NES)	NES		R. 5683. Offsets involving total performance swaps and underlying security positions	5683	(1)(ii)(b)	Adopt and renumber existing IDPC Rule clause 5683(1)(iv) as sub-clause 5683(1)(ii)(b)
No equivalent section (NES)	NES			R. 5683. Offsets involving total performance swaps and underlying security positions	5683	(2)(i)		No equivalent section (NES)	NES		R. 5683. Offsets involving total performance swaps and underlying security positions	5683	(2)(i)	Adopt existing IDPC Rule provision.

Existing MFD Rule				Existing IDPC Rule				Existing NI 31-103 requirement			New DC Rule			
Number and Title	Section reference	Subsection reference	Cross reference	Number and Title	Section reference	Subsection reference	Cross reference	Number and Title	Section reference	Subsection reference	Number and Title	Section reference	Subsection reference	Proposed Action
No equivalent section (NES)	NES			R. 5683. Offsets involving total performance swaps and underlying security positions	5683	(2)(ii)		No equivalent section (NES)		NES	R. 5683. Offsets involving total performance swaps and underlying security positions	5683	(2)(ii)(a)	Adopt and renumber existing IDPC Rule clause 5683(2)(ii) as sub-clause 5683(2)(ii)(a)
No equivalent section (NES)	NES			R. 5683. Offsets involving total performance swaps and underlying security positions	5683	(2)(iii)(a)		No equivalent section (NES)		NES	R. 5683. Offsets involving total performance swaps and underlying security positions	5683	(2)(ii)(a)(i)	Adopt and renumber existing IDPC Rule sub-clause 5683(2)(iii)(a) as paragraph 5683(2)(ii)(a)(i)
No equivalent section (NES)	NES			R. 5683. Offsets involving total performance swaps and underlying security positions	5683	(2)(iii)(b)		No equivalent section (NES)		NES	R. 5683. Offsets involving total performance swaps and underlying security positions	5683	(2)(ii)(a)(ii)	Adopt and renumber existing IDPC Rule sub-clause 5683(2)(iii)(b) as paragraph 5683(2)(ii)(a)(ii)
No equivalent section (NES)	NES			R. 5683. Offsets involving total performance swaps and underlying security positions	5683	(2)(iv)		No equivalent section (NES)		NES	R. 5683. Offsets involving total performance swaps and underlying security positions	5683	(2)(ii)(b)	Adopt and renumber existing IDPC Rule clause 5683(2)(iv) as sub-clause 5683(2)(ii)(b)
No equivalent section (NES)	NES			R. 5684. – 5699. Reserved.	5684-5699			No equivalent section (NES)		NES	R. 5684. – 5699. Reserved.	5684-5699		Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES			R. 5701. Introduction	5701	(1)		No equivalent section (NES)		NES	R. 5701. Introduction	5701	(1)	Adopt existing IDPC Rule introduction section.
No equivalent section (NES)	NES			R. 5701. Introduction	5701	(2)(i)		No equivalent section (NES)		NES	R. 5701. Introduction	5701	(2)(i)	Adopt existing IDPC Rule introduction section.
No equivalent section (NES)	NES			R. 5701. Introduction	5701	(2)(ii)(a)		No equivalent section (NES)		NES	R. 5701. Introduction	5701	(2)(ii)(a)	Adopt existing IDPC Rule introduction section.
No equivalent section (NES)	NES			R. 5701. Introduction	5701	(2)(ii)(b)		No equivalent section (NES)		NES	R. 5701. Introduction	5701	(2)(ii)(b)	Adopt existing IDPC Rule introduction section.
No equivalent section (NES)	NES			R. 5701. Introduction	5701	(2)(ii)(c)		No equivalent section (NES)		NES	R. 5701. Introduction	5701	(2)(ii)(c)	Adopt existing IDPC Rule introduction section.
No equivalent section (NES)	NES			R. 5701. Introduction	5701	(2)(ii)(d)		No equivalent section (NES)		NES	R. 5701. Introduction	5701	(2)(ii)(d)	Adopt existing IDPC Rule introduction section.
No equivalent section (NES)	NES			R. 5701. Introduction	5701	(2)(ii)(e)		No equivalent section (NES)		NES	R. 5701. Introduction	5701	(2)(ii)(e)	Adopt existing IDPC Rule introduction section.
No equivalent section (NES)	NES			R. 5701. Introduction	5701	(2)(ii)(f)		No equivalent section (NES)		NES	R. 5701. Introduction	5701	(2)(iii)	Adopt and renumber existing IDPC Rule introduction sub-clause 5701(2)(ii)(f) as clause 5701(2)(iii)
No equivalent section (NES)	NES			R. 5701. Introduction	5701	(2)(ii)(g)		No equivalent section (NES)		NES	R. 5701. Introduction	5701	(2)(iv)	Adopt and renumber existing IDPC Rule introduction sub-clause 5701(2)(ii)(g) as clause 5701(2)(iv)
No equivalent section (NES)	NES			R. 5701. Introduction	5701	(2)(iii)		No equivalent section (NES)		NES	R. 5701. Introduction	5701	(2)(v)	Adopt and renumber existing IDPC Rule introduction clause 5701(2)(iii) as clause 5701(2)(v)
No equivalent section (NES)	NES			R. 5701. Introduction	5701	(2)(iv)		No equivalent section (NES)		NES	R. 5701. Introduction	5701	(2)(vi)	Adopt and renumber existing IDPC Rule introduction clause 5701(2)(iv) as clause 5701(2)(vi)
No equivalent section (NES)	NES			R. 5702. - 5709. Reserved.	5702-5709			No equivalent section (NES)		NES	R. 5702. - 5709. Reserved.	5702-5709		Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES			R. 5710. Agreement and account requirements	5710	(1)(i)		No equivalent section (NES)		NES	R. 5710. Agreement and account requirements	5710	(1)(i)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES			R. 5710. Agreement and account requirements	5710	(1)(ii)		No equivalent section (NES)		NES	R. 5710. Agreement and account requirements	5710	(1)(ii)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES			R. 5710. Agreement and account requirements	5710	(2)		No equivalent section (NES)		NES	R. 5710. Agreement and account requirements	5710	(2)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES			R. 5710. Agreement and account requirements	5710	(3)(i)		No equivalent section (NES)		NES	R. 5710. Agreement and account requirements	5710	(3)(i)	Adopt existing IDPC Rule provision.

Existing MFD Rule				Existing IDPC Rule				Existing NI 31-103 requirement			New DC Rule			
Number and Title	Section reference	Subsection reference	Cross reference	Number and Title	Section reference	Subsection reference	Cross reference	Number and Title	Section reference	Subsection reference	Number and Title	Section reference	Subsection reference	Proposed Action
No equivalent section (NES)	NES			R. 5710. Agreement and account requirements	5710	(3)(ii)		No equivalent section (NES)	NES		R. 5710. Agreement and account requirements	5710	(3)(ii)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES			R. 5711. Requirement to calculate and obtain margin from clients	5711	(1)(i)		No equivalent section (NES)	NES		R. 5711. Requirement to calculate and obtain margin from clients	5711	(1)(i)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES			R. 5711. Requirement to calculate and obtain margin from clients	5711	(1)(ii)(a)		No equivalent section (NES)	NES		R. 5711. Requirement to calculate and obtain margin from clients	5711	(1)(ii)(a)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES			R. 5711. Requirement to calculate and obtain margin from clients	5711	(1)(ii)(b)		No equivalent section (NES)	NES		R. 5711. Requirement to calculate and obtain margin from clients	5711	(1)(ii)(b)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES			R. 5712. Requirements for option offset strategies	5712	(1)		No equivalent section (NES)	NES		R. 5712. Requirements for option offset strategies	5712	(1)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES			R. 5712. Requirements for option offset strategies	5712	(2)		No equivalent section (NES)	NES		R. 5712. Requirements for option offset strategies	5712	(2)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES			R. 5713. Imposition of special margin requirements	5713	(1)		No equivalent section (NES)	NES		R. 5713. Imposition of special margin requirements	5713	(1)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES			R. 5714. Treatment of option positions issued by different recognized option clearing corporations	5714	(1)		No equivalent section (NES)	NES		R. 5714. Treatment of option positions issued by different recognized option clearing corporations	5714	(1)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES			R. 5715. Summary reference tables of common strategies	5715	(1)(i)		No equivalent section (NES)	NES		R. 5715. Summary reference tables of common strategies	5715	(1)(i)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES			R. 5715. Summary reference tables of common strategies	5715	(1)(ii)		No equivalent section (NES)	NES		R. 5715. Summary reference tables of common strategies	5715	(1)(ii)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES			R. 5715. Summary reference tables of common strategies	5715	(1)(iii)		No equivalent section (NES)	NES		R. 5715. Summary reference tables of common strategies	5715	(1)(iii)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES			R. 5715. Summary reference tables of common strategies	5715	(1)(iv)		No equivalent section (NES)	NES		R. 5715. Summary reference tables of common strategies	5715	(1)(iv)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES			R. 5715. Summary reference tables of common strategies	5715	(2)		No equivalent section (NES)	NES		R. 5715. Summary reference tables of common strategies	5715	(2)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES			R. 5715. Summary reference tables of common strategies	5715	(3)(i)		No equivalent section (NES)	NES		R. 5715. Summary reference tables of common strategies	5715	(3)(i)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES			R. 5715. Summary reference tables of common strategies	5715	(3)(ii)		No equivalent section (NES)	NES		R. 5715. Summary reference tables of common strategies	5715	(3)(ii)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES			R. 5715. Summary reference tables of common strategies	5715	(3)(iii)		No equivalent section (NES)	NES		R. 5715. Summary reference tables of common strategies	5715	(3)(iii)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES			R. 5715. Summary reference tables of common strategies	5715	(3)(iv)		No equivalent section (NES)	NES		R. 5715. Summary reference tables of common strategies	5715	(3)(iv)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES			R. 5715. Summary reference tables of common strategies	5715	(4)		No equivalent section (NES)	NES		R. 5715. Summary reference tables of common strategies	5715	(4)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES			R. 5715. Summary reference tables of common strategies	5715	(5)		No equivalent section (NES)	NES		R. 5715. Summary reference tables of common strategies	5715	(5)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES			R. 5715. Summary reference tables of common strategies	5715	(6)		No equivalent section (NES)	NES		R. 5715. Summary reference tables of common strategies	5715	(6)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES			R. 5715. Summary reference tables of common strategies	5715	(7)(i)		No equivalent section (NES)	NES		R. 5715. Summary reference tables of common strategies	5715	(7)(i)	Adopt existing IDPC Rule provision.

Existing MFD Rule				Existing IDPC Rule				Existing NI 31-103 requirement			New DC Rule			
Number and Title	Section reference	Subsection reference	Cross reference	Number and Title	Section reference	Subsection reference	Cross reference	Number and Title	Section reference	Subsection reference	Number and Title	Section reference	Subsection reference	Proposed Action
No equivalent section (NES)	NES			R. 5715. Summary reference tables of common strategies	5715	(7)(ii)		No equivalent section (NES)		NES	R. 5715. Summary reference tables of common strategies	5715	(7)(ii)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES			R. 5715. Summary reference tables of common strategies	5715	(7)(iii)		No equivalent section (NES)		NES	R. 5715. Summary reference tables of common strategies	5715	(7)(iii)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES			R. 5716. - 5719. Reserved.	5716-5719			No equivalent section (NES)		NES	R. 5716. - 5719. Reserved.	5716-5719		Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES			R. 5720. Long option positions	5720	(1)(i)(a)(I)		No equivalent section (NES)		NES	R. 5720. Long option positions	5720	(1)(i)(a)(I)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES			R. 5720. Long option positions	5720	(1)(i)(a)(II)		No equivalent section (NES)		NES	R. 5720. Long option positions	5720	(1)(i)(a)(II)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES			R. 5720. Long option positions	5720	(1)(i)(a)(III)		No equivalent section (NES)		NES	R. 5720. Long option positions	5720	(1)(i)(a)(III)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES			R. 5720. Long option positions	5720	(1)(i)(a)(IV)		No equivalent section (NES)		NES	R. 5720. Long option positions	5720	(1)(i)(a)(IV)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES			R. 5720. Long option positions	5720	(1)(i)(b)		No equivalent section (NES)		NES	R. 5720. Long option positions	5720	(1)(i)(b)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES			R. 5720. Long option positions	5720	(1)(ii)		No equivalent section (NES)		NES	R. 5720. Long option positions	5720	(1)(ii)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES			R. 5720. Long option positions	5720	(2)(i)		No equivalent section (NES)		NES	R. 5720. Long option positions	5720	(2)(i)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES			R. 5720. Long option positions	5720	(2)(ii)		No equivalent section (NES)		NES	R. 5720. Long option positions	5720	(2)(ii)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES			R. 5721. Short option positions	5721	(1)(i)(a)		No equivalent section (NES)		NES	R. 5721. Short option positions	5721	(1)(i)(a)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES			R. 5721. Short option positions	5721	(1)(i)(b)		No equivalent section (NES)		NES	R. 5721. Short option positions	5721	(1)(i)(b)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES			R. 5721. Short option positions	5721	(1)(i)(c)		No equivalent section (NES)		NES	R. 5721. Short option positions	5721	(1)(i)(c)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES			R. 5721. Short option positions	5721	(1)(i)(d)		No equivalent section (NES)		NES	R. 5721. Short option positions	5721	(1)(i)(d)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES			R. 5721. Short option positions	5721	(1)(ii)		No equivalent section (NES)		NES	R. 5721. Short option positions	5721	(1)(ii)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES			R. 5721. Short option positions	5721	(2)(i)		No equivalent section (NES)		NES	R. 5721. Short option positions	5721	(2)(i)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES			R. 5721. Short option positions	5721	(2)(ii)		No equivalent section (NES)		NES	R. 5721. Short option positions	5721	(2)(ii)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES			R. 5721. Short option positions	5721	(2)(iii)(a)		No equivalent section (NES)		NES	R. 5721. Short option positions	5721	(2)(iii)(a)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES			R. 5721. Short option positions	5721	(2)(iii)(b)		No equivalent section (NES)		NES	R. 5721. Short option positions	5721	(2)(iii)(b)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES			R. 5721. Short option positions	5721	(2)(iii)(c)		No equivalent section (NES)		NES	R. 5721. Short option positions	5721	(2)(iii)(c)	Adopt existing IDPC Rule provision.

Existing MFD Rule				Existing IDPC Rule				Existing NI 31-103 requirement			New DC Rule			
Number and Title	Section reference	Subsection reference	Cross reference	Number and Title	Section reference	Subsection reference	Cross reference	Number and Title	Section reference	Subsection reference	Number and Title	Section reference	Subsection reference	Proposed Action
No equivalent section (NES)	NES			R. 5733. Long call option - short call option - long put option	5733	(1)(v)(b)		No equivalent section (NES)		NES	R. 5733. Long call option - short call option - long put option	5733	(1)(v)(b)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES			R. 5734. Long warrant - short call option	5734	(1)(i)(a)(i)		No equivalent section (NES)		NES	R. 5734. Long warrant - short call option	5734	(1)(i)(a)(i)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES			R. 5734. Long warrant - short call option	5734	(1)(i)(a)(ii)		No equivalent section (NES)		NES	R. 5734. Long warrant - short call option	5734	(1)(i)(a)(ii)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES			R. 5734. Long warrant - short call option	5734	(1)(i)(a)(iii)		No equivalent section (NES)		NES	R. 5734. Long warrant - short call option	5734	(1)(i)(a)(iii)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES			R. 5734. Long warrant - short call option	5734	(1)(i)(a)(IV)		No equivalent section (NES)		NES	R. 5734. Long warrant - short call option	5734	(1)(i)(a)(IV)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES			R. 5734. Long warrant - short call option	5734	(1)(i)(b)		No equivalent section (NES)		NES	R. 5734. Long warrant - short call option	5734	(1)(i)(b)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES			R. 5734. Long warrant - short call option	5734	(1)(ii)		No equivalent section (NES)		NES	R. 5734. Long warrant - short call option	5734	(1)(ii)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES			R. 5734. Long warrant - short call option	5734	(1)(iii)(a)		No equivalent section (NES)		NES	R. 5734. Long warrant - short call option	5734	(1)(iii)(a)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES			R. 5734. Long warrant - short call option	5734	(1)(iii)(b)		No equivalent section (NES)		NES	R. 5734. Long warrant - short call option	5734	(1)(iii)(b)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES			R. 5735. Box spread	5735	(1)		No equivalent section (NES)		NES	R. 5735. Box spread	5735	(1)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES			R. 5735. Box spread	5735	(1)(i)		No equivalent section (NES)		NES	R. 5735. Box spread	5735	(1)(i)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES			R. 5735. Box spread	5735	(1)(ii)		No equivalent section (NES)		NES	R. 5735. Box spread	5735	(1)(ii)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES			R. 5735. Box spread	5735	(2)		No equivalent section (NES)		NES	R. 5735. Box spread	5735	(2)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES			R. 5735. Box spread	5735	(2)(i)		No equivalent section (NES)		NES	R. 5735. Box spread	5735	(2)(i)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES			R. 5735. Box spread	5735	(2)(ii)		No equivalent section (NES)		NES	R. 5735. Box spread	5735	(2)(ii)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES			R. 5736. Long butterfly spread	5736	(1)		No equivalent section (NES)		NES	R. 5736. Long butterfly spread	5736	(1)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES			R. 5737. Short butterfly spread	5737	(1)		No equivalent section (NES)		NES	R. 5737. Short butterfly spread	5737	(1)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES			R. 5738. Long condor spread	5738	(1)		No equivalent section (NES)		NES	R. 5738. Long condor spread	5738	(1)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES			R. 5739. Short iron butterfly spread	5739	(1)		No equivalent section (NES)		NES	R. 5739. Short iron butterfly spread	5739	(1)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES			R. 5740. Short iron condor spread	5740	(1)		No equivalent section (NES)		NES	R. 5740. Short iron condor spread	5740	(1)	Adopt a revised version of existing IDPC Rule which includes Dealer Member inventory
No equivalent section (NES)	NES			R. 5741. - 5749. Reserved.	5741-5749			No equivalent section (NES)		NES	R. 5741. - 5749. Reserved.	5741-5749		Adopt existing IDPC Rule provision.

Existing MFD Rule				Existing IDPC Rule				Existing NI 31-103 requirement			New DC Rule			
Number and Title	Section reference	Subsection reference	Cross reference	Number and Title	Section reference	Subsection reference	Cross reference	Number and Title	Section reference	Subsection reference	Number and Title	Section reference	Subsection reference	Proposed Action
No equivalent section (NES)	NES			Section Heading	5775			No equivalent section (NES)	NES		Section Heading	5775		Adopt a revised version of existing IDPC Heading which removes exchange traded options
No equivalent section (NES)	NES			R. 5775. Cross index offset combinations involving index products	5775	(1)(i)		No equivalent section (NES)	NES		R. 5775. Cross index offset combinations involving index products	5775	(1)(i)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES			R. 5775. Cross index offset combinations involving index products	5775	(1)(ii)		No equivalent section (NES)	NES		R. 5775. Cross index offset combinations involving index products	5775	(1)(ii)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES			R. 5775. Cross index offset combinations involving index products	5775	(1)(iii)		No equivalent section (NES)	NES		R. 5775. Cross index offset combinations involving index products	5775	(1)(iii)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES			R. 5776. Optional use of the Standard Portfolio Analysis methodology	5776	(1)		No equivalent section (NES)	NES		R. 5776. Optional use of the Standard Portfolio Analysis methodology	5776	(1)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES			R. 5776. Optional use of the Standard Portfolio Analysis methodology	5776	(2)		No equivalent section (NES)	NES		R. 5776. Optional use of the Standard Portfolio Analysis methodology	5776	(2)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES			R. 5776. Optional use of the Standard Portfolio Analysis methodology	5776	(3)		No equivalent section (NES)	NES		R. 5776. Optional use of the Standard Portfolio Analysis methodology	5776	(3)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES			R. 5776. Optional use of the Standard Portfolio Analysis methodology	5776	(4)		No equivalent section (NES)	NES		R. 5776. Optional use of the Standard Portfolio Analysis methodology	5776	(4)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES			R. 5777. - 5779. Reserved.	5777-5779			No equivalent section (NES)	NES		R. 5777. - 5779. Reserved.	5777-5779		Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES			R. 5780. Long option positions	5780	(1)(i)		No equivalent section (NES)	NES		R. 5780. Long option positions	5780	(1)(i)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES			R. 5780. Long option positions	5780	(1)(ii)(a)		No equivalent section (NES)	NES		R. 5780. Long option positions	5780	(1)(ii)(a)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES			R. 5780. Long option positions	5780	(1)(ii)(b)		No equivalent section (NES)	NES		R. 5780. Long option positions	5780	(1)(ii)(b)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES			R. 5780. Long option positions	5780	(2)		No equivalent section (NES)	NES		R. 5780. Long option positions	5780	(2)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES			R. 5781. Short option positions	5781	(1)(i)(a)		No equivalent section (NES)	NES		R. 5781. Short option positions	5781	(1)(i)(a)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES			R. 5781. Short option positions	5781	(1)(i)(b)		No equivalent section (NES)	NES		R. 5781. Short option positions	5781	(1)(i)(b)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES			R. 5781. Short option positions	5781	(1)(i)(c)		No equivalent section (NES)	NES		R. 5781. Short option positions	5781	(1)(i)(c)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES			R. 5781. Short option positions	5781	(1)(i)(d)		No equivalent section (NES)	NES		R. 5781. Short option positions	5781	(1)(i)(d)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES			R. 5781. Short option positions	5781	(1)(ii)		No equivalent section (NES)	NES		R. 5781. Short option positions	5781	(1)(ii)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES			R. 5781. Short option positions	5781	(2)(i)		No equivalent section (NES)	NES		R. 5781. Short option positions	5781	(2)(i)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES			R. 5781. Short option positions	5781	(2)(ii)		No equivalent section (NES)	NES		R. 5781. Short option positions	5781	(2)(ii)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES			R. 5782. Hedged option positions	5782	(1)(i)		No equivalent section (NES)	NES		R. 5782. Hedged option positions	5782	(1)(i)	Adopt existing IDPC Rule provision.

Existing MFD Rule				Existing IDPC Rule				Existing NI 31-103 requirement			New DC Rule			
Number and Title	Section reference	Subsection reference	Cross reference	Number and Title	Section reference	Subsection reference	Cross reference	Number and Title	Section reference	Subsection reference	Number and Title	Section reference	Subsection reference	Proposed Action
No equivalent section (NES)	NES			R. 5782. Hedged option positions	5782	(1)(ii)		No equivalent section (NES)	NES		R. 5782. Hedged option positions	5782	(1)(ii)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES			R. 5782. Hedged option positions	5782	(2)		No equivalent section (NES)	NES		R. 5782. Hedged option positions	5782	(2)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES			R. 5782. Hedged option positions	5782	(3)(i)		No equivalent section (NES)	NES		R. 5782. Hedged option positions	5782	(3)(i)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES			R. 5782. Hedged option positions	5782	(3)(ii)		No equivalent section (NES)	NES		R. 5782. Hedged option positions	5782	(3)(ii)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES			R. 5782. Hedged option positions	5782	(3)(iii)		No equivalent section (NES)	NES		R. 5782. Hedged option positions	5782	(3)(iii)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES			R. 5783. Option spreads and combinations	5783	(1)		No equivalent section (NES)	NES		R. 5783. Option spreads and combinations	5783	(1)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES			R. 5783. Option spreads and combinations	5783	(2)(i)		No equivalent section (NES)	NES		R. 5783. Option spreads and combinations	5783	(2)(i)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES			R. 5783. Option spreads and combinations	5783	(2)(ii)		No equivalent section (NES)	NES		R. 5783. Option spreads and combinations	5783	(2)(ii)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES			R. 5783. Option spreads and combinations	5783	(2)(iii)		No equivalent section (NES)	NES		R. 5783. Option spreads and combinations	5783	(2)(iii)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES			R. 5784. Confirmation, delivery and exercise	5784	(1)		No equivalent section (NES)	NES		R. 5784. Confirmation, delivery and exercise	5784	(1)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES			R. 5784. Confirmation, delivery and exercise	5784	(2)		No equivalent section (NES)	NES		R. 5784. Confirmation, delivery and exercise	5784	(2)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES			R. 5785. - 5789. Reserved.	5785-5789			No equivalent section (NES)	NES		R. 5785. - 5789. Reserved.	5785-5789		Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES			R. 5790. Minimum margin requirements	5790	(1)(i)		No equivalent section (NES)	NES		R. 5790. Minimum margin requirements	5790	(1)(i)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES			R. 5790. Minimum margin requirements	5790	(1)(ii)		No equivalent section (NES)	NES		R. 5790. Minimum margin requirements	5790	(1)(ii)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES			R. 5790. Minimum margin requirements	5790	(1)(iii)		No equivalent section (NES)	NES		R. 5790. Minimum margin requirements	5790	(1)(iii)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES			R. 5790. Minimum margin requirements	5790	(2)(i)		No equivalent section (NES)	NES		R. 5790. Minimum margin requirements	5790	(2)(i)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES			R. 5790. Minimum margin requirements	5790	(2)(ii)		No equivalent section (NES)	NES		R. 5790. Minimum margin requirements	5790	(2)(ii)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES			R. 5790. Minimum margin requirements	5790	(3)(i)		No equivalent section (NES)	NES		R. 5790. Minimum margin requirements	5790	(3)(i)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES			R. 5790. Minimum margin requirements	5790	(3)(ii)		No equivalent section (NES)	NES		R. 5790. Minimum margin requirements	5790	(3)(ii)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES			R. 5790. Minimum margin requirements	5790	(4)		No equivalent section (NES)	NES		R. 5790. Minimum margin requirements	5790	(4)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES			R. 5790. Minimum margin requirements	5790	(5)		No equivalent section (NES)	NES		R. 5790. Minimum margin requirements	5790	(5)	Adopt existing IDPC Rule provision.

Existing MFD Rule				Existing IDPC Rule				Existing NI 31-103 requirement			New DC Rule			
Number and Title	Section reference	Subsection reference	Cross reference	Number and Title	Section reference	Subsection reference	Cross reference	Number and Title	Section reference	Subsection reference	Number and Title	Section reference	Subsection reference	Proposed Action
No equivalent section (NES)	NES			R. 5790. Minimum margin requirements	5790	(6)		No equivalent section (NES)		NES	R. 5790. Minimum margin requirements	5790	(6)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES			R. 5790. Minimum margin requirements	5790	(7)		No equivalent section (NES)		NES	R. 5790. Minimum margin requirements	5790	(7)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES			R. 5790. Minimum margin requirements	5790	(8)		No equivalent section (NES)		NES	R. 5790. Minimum margin requirements	5790	(8)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES			R. 5791. - 5799. Reserved.	5791-5799			No equivalent section (NES)		NES	R. 5791. - 5799. Reserved.	5791-5799		Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES			R. 5801. Introduction	5801	(1)		No equivalent section (NES)		NES	R. 5801. Introduction	5801	(1)	Adopt existing IDPC Rule introduction section.
No equivalent section (NES)	NES			R. 5802. - 5809. Reserved.	5802-5809			No equivalent section (NES)		NES	R. 5802. - 5809. Reserved.	5802-5809		Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES			R. 5810. Corporation standard agreements	5810	(1)		No equivalent section (NES)		NES	R. 5810. Corporation standard agreements	5810	(1)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES			R. 5811. - 5819. Reserved.	5811-5819			No equivalent section (NES)		NES	R. 5811. - 5819. Reserved.	5811-5819		Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES			R. 5820. General account guarantee requirements	5820	(1)(i)		No equivalent section (NES)		NES	R. 5820. General account guarantee requirements	5820	(1)(i)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES			R. 5820. General account guarantee requirements	5820	(1)(ii)		No equivalent section (NES)		NES	R. 5820. General account guarantee requirements	5820	(1)(ii)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES			R. 5820. General account guarantee requirements	5820	(1)(iii)(a)		No equivalent section (NES)		NES	R. 5820. General account guarantee requirements	5820	(1)(iii)(a)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES			R. 5820. General account guarantee requirements	5820	(1)(iii)(b)		No equivalent section (NES)		NES	R. 5820. General account guarantee requirements	5820	(1)(iii)(b)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES			R. 5820. General account guarantee requirements	5820	(1)(iii)(c)		No equivalent section (NES)		NES	R. 5820. General account guarantee requirements	5820	(1)(iii)(c)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES			R. 5820. General account guarantee requirements	5820	(1)(iii)(d)		No equivalent section (NES)		NES	R. 5820. General account guarantee requirements	5820	(1)(iii)(d)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES			R. 5820. General account guarantee requirements	5820	(1)(iii)(e)		No equivalent section (NES)		NES	R. 5820. General account guarantee requirements	5820	(1)(iii)(e)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES			R. 5820. General account guarantee requirements	5820	(1)(iv)		No equivalent section (NES)		NES	R. 5820. General account guarantee requirements	5820	(1)(iv)	Adopt a revised version of existing IDPC Rule which is materially the same
No equivalent section (NES)	NES			R. 5820. General account guarantee requirements	5820	(1)(v)		No equivalent section (NES)		NES	R. 5820. General account guarantee requirements	5820	(1)(v)	Adopt a revised version of existing IDPC Rule which is materially the same
No equivalent section (NES)	NES			R. 5820. General account guarantee requirements	5820	(1)(vi)		No equivalent section (NES)		NES	R. 5820. General account guarantee requirements	5820	(1)(vi)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES			R. 5820. General account guarantee requirements	5820	(1)(vii)		No equivalent section (NES)		NES	R. 5820. General account guarantee requirements	5820	(1)(vii)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES			R. 5820. General account guarantee requirements	5820	(2)		No equivalent section (NES)		NES	R. 5820. General account guarantee requirements	5820	(2)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES			R. 5821. Requirements for account guarantees by shareholders, Registered Representatives or employees	5821	(1)(i)(a)		No equivalent section (NES)		NES	R. 5821. Requirements for account guarantees by shareholders, Registered Representatives or employees	5821	(1)(i)(a)	Adopt existing IDPC Rule provision.

Existing MFD Rule				Existing IDPC Rule				Existing NI 31-103 requirement			New DC Rule			
Number and Title	Section reference	Subsection reference	Cross reference	Number and Title	Section reference	Subsection reference	Cross reference	Number and Title	Section reference	Subsection reference	Number and Title	Section reference	Subsection reference	Proposed Action
No equivalent section (NES)	NES			R. 5821. Requirements for account guarantees by shareholders, Registered Representatives or employees	5821	(1)(i)(b)		No equivalent section (NES)		NES	R. 5821. Requirements for account guarantees by shareholders, Registered Representatives or employees	5821	(1)(i)(b)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES			R. 5821. Requirements for account guarantees by shareholders, Registered Representatives or employees	5821	(1)(i)(c)		No equivalent section (NES)		NES	R. 5821. Requirements for account guarantees by shareholders, Registered Representatives or employees	5821	(1)(i)(c)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES			R. 5821. Requirements for account guarantees by shareholders, Registered Representatives or employees	5821	(1)(i)(d)		No equivalent section (NES)		NES	R. 5821. Requirements for account guarantees by shareholders, Registered Representatives or employees	5821	(1)(i)(d)	Adopt a revised version of existing IDPC Rule provision referencing Investment Dealer Member Form 1
No equivalent section (NES)	NES			R. 5821. Requirements for account guarantees by shareholders, Registered Representatives or employees	5821	(1)(ii)(a)		No equivalent section (NES)		NES	R. 5821. Requirements for account guarantees by shareholders, Registered Representatives or employees	5821	(1)(ii)(a)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES			R. 5821. Requirements for account guarantees by shareholders, Registered Representatives or employees	5821	(1)(ii)(b)		No equivalent section (NES)		NES	R. 5821. Requirements for account guarantees by shareholders, Registered Representatives or employees	5821	(1)(ii)(b)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES			R. 5821. Requirements for account guarantees by shareholders, Registered Representatives or employees	5821	(1)(ii)(c)		No equivalent section (NES)		NES	R. 5821. Requirements for account guarantees by shareholders, Registered Representatives or employees	5821	(1)(ii)(c)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES			R. 5822. Prohibited account guarantee arrangements	5822	(1)		No equivalent section (NES)		NES	R. 5822. Prohibited account guarantee arrangements	5822	(1)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES			R. 5823. Exception for immediate family	5823	(1)		No equivalent section (NES)		NES	R. 5823. Exception for immediate family	5823	(1)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES			R. 5824. Margin relief for guarantee agreements	5824	(1)		No equivalent section (NES)		NES	R. 5824. Margin relief for guarantee agreements	5824	(1)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES			R. 5824. Margin relief for guarantee agreements	5824	(2)		No equivalent section (NES)		NES	R. 5824. Margin relief for guarantee agreements	5824	(2)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES			R. 5824. Margin relief for guarantee agreements	5824	(3)		No equivalent section (NES)		NES	R. 5824. Margin relief for guarantee agreements	5824	(3)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES			R. 5825. Account guarantee agreement minimum terms	5825	(1)(i)		No equivalent section (NES)		NES	R. 5825. Account guarantee agreement minimum terms	5825	(1)(i)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES			R. 5825. Account guarantee agreement minimum terms	5825	(1)(ii)		No equivalent section (NES)		NES	R. 5825. Account guarantee agreement minimum terms	5825	(1)(ii)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES			R. 5825. Account guarantee agreement minimum terms	5825	(1)(iii)		No equivalent section (NES)		NES	R. 5825. Account guarantee agreement minimum terms	5825	(1)(iii)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES			R. 5825. Account guarantee agreement minimum terms	5825	(1)(iv)(a)		No equivalent section (NES)		NES	R. 5825. Account guarantee agreement minimum terms	5825	(1)(iv)(a)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES			R. 5825. Account guarantee agreement minimum terms	5825	(1)(iv)(b)		No equivalent section (NES)		NES	R. 5825. Account guarantee agreement minimum terms	5825	(1)(iv)(b)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES			R. 5825. Account guarantee agreement minimum terms	5825	(1)(iv)(c)		No equivalent section (NES)		NES	R. 5825. Account guarantee agreement minimum terms	5825	(1)(iv)(c)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES			R. 5825. Account guarantee agreement minimum terms	5825	(1)(iv)(d)		No equivalent section (NES)		NES	R. 5825. Account guarantee agreement minimum terms	5825	(1)(iv)(d)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES			R. 5825. Account guarantee agreement minimum terms	5825	(1)(v)(a)		No equivalent section (NES)		NES	R. 5825. Account guarantee agreement minimum terms	5825	(1)(v)(a)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES			R. 5825. Account guarantee agreement minimum terms	5825	(1)(v)(b)		No equivalent section (NES)		NES	R. 5825. Account guarantee agreement minimum terms	5825	(1)(v)(b)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES			R. 5825. Account guarantee agreement minimum terms	5825	(1)(vi)		No equivalent section (NES)		NES	R. 5825. Account guarantee agreement minimum terms	5825	(1)(vi)	Adopt existing IDPC Rule provision.

Existing MFD Rule				Existing IDPC Rule				Existing NI 31-103 requirement			New DC Rule			
Number and Title	Section reference	Subsection reference	Cross reference	Number and Title	Section reference	Subsection reference	Cross reference	Number and Title	Section reference	Subsection reference	Number and Title	Section reference	Subsection reference	Proposed Action
No equivalent section (NES)	NES			R. 5826. - 5829. Reserved.	5826-5829			No equivalent section (NES)	NES		R. 5826. - 5829. Reserved.	5826-5829		Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES			R. 5830. Hedge agreements	5830	(1)(i)		No equivalent section (NES)	NES		R. 5830. Hedge agreements	5830	(1)(i)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES			R. 5830. Hedge agreements	5830	(1)(ii)		No equivalent section (NES)	NES		R. 5830. Hedge agreements	5830	(1)(ii)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES			R. 5830. Hedge agreements	5830	(2)(i)		No equivalent section (NES)	NES		R. 5830. Hedge agreements	5830	(2)(i)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES			R. 5830. Hedge agreements	5830	(2)(ii)		No equivalent section (NES)	NES		R. 5830. Hedge agreements	5830	(2)(ii)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES			R. 5830. Hedge agreements	5830	(2)(iii)		No equivalent section (NES)	NES		R. 5830. Hedge agreements	5830	(2)(iii)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES			R. 5831. - 5839. Reserved.	5831-5839			No equivalent section (NES)	NES		R. 5831. - 5839. Reserved.	5831-5839		Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES			R. 5840. Cash and securities loan agreements	5840	(1)		No equivalent section (NES)	NES		R. 5840. Cash and securities loan agreements	5840	(1)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES			R. 5840. Cash and securities loan agreements	5840	(2)		No equivalent section (NES)	NES		R. 5840. Cash and securities loan agreements	5840	(2)	Adopt a revised version of existing IDPC Rule provision referencing Investment Dealer Member Form 1
No equivalent section (NES)	NES			R. 5840. Cash and securities loan agreements	5840	(3)(i)		No equivalent section (NES)	NES		R. 5840. Cash and securities loan agreements	5840	(3)(i)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES			R. 5840. Cash and securities loan agreements	5840	(3)(ii)		No equivalent section (NES)	NES		R. 5840. Cash and securities loan agreements	5840	(3)(ii)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES			R. 5840. Cash and securities loan agreements	5840	(3)(iii)		No equivalent section (NES)	NES		R. 5840. Cash and securities loan agreements	5840	(3)(iii)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES			R. 5840. Cash and securities loan agreements	5840	(3)(iv)(a)		No equivalent section (NES)	NES		R. 5840. Cash and securities loan agreements	5840	(3)(iv)(a)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES			R. 5840. Cash and securities loan agreements	5840	(3)(iv)(b)		No equivalent section (NES)	NES		R. 5840. Cash and securities loan agreements	5840	(3)(iv)(b)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES			R. 5840. Cash and securities loan agreements	5840	(4)		No equivalent section (NES)	NES		R. 5840. Cash and securities loan agreements	5840	(4)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES			R. 5840. Cash and securities loan agreements	5840	(5)		No equivalent section (NES)	NES		R. 5840. Cash and securities loan agreements	5840	(5)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES			R. 5841. - 5849. Reserved.	5841-5849			No equivalent section (NES)	NES		R. 5841. - 5849. Reserved.	5841-5849		Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES			R. 5850. Repurchase agreements and reverse repurchase agreements	5850	(1)		No equivalent section (NES)	NES		R. 5850. Repurchase agreements and reverse repurchase agreements	5850	(1)	Adopt a revised version of existing IDPC Rule provision referencing Investment Dealer Member Form 1
No equivalent section (NES)	NES			R. 5850. Repurchase agreements and reverse repurchase agreements	5850	(2)(i)		No equivalent section (NES)	NES		R. 5850. Repurchase agreements and reverse repurchase agreements	5850	(2)(i)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES			R. 5850. Repurchase agreements and reverse repurchase agreements	5850	(2)(ii)		No equivalent section (NES)	NES		R. 5850. Repurchase agreements and reverse repurchase agreements	5850	(2)(ii)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES			R. 5850. Repurchase agreements and reverse repurchase agreements	5850	(2)(iii)		No equivalent section (NES)	NES		R. 5850. Repurchase agreements and reverse repurchase agreements	5850	(2)(iii)	Adopt existing IDPC Rule provision.

Existing MFD Rule				Existing IDPC Rule				Existing NI 31-103 requirement			New DC Rule			
Number and Title	Section reference	Subsection reference	Cross reference	Number and Title	Section reference	Subsection reference	Cross reference	Number and Title	Section reference	Subsection reference	Number and Title	Section reference	Subsection reference	Proposed Action
No equivalent section (NES)	NES			R. 5850. Repurchase agreements and reverse repurchase agreements	5850	(2)(iv)(a)		No equivalent section (NES)		NES	R. 5850. Repurchase agreements and reverse repurchase agreements	5850	(2)(iv)(a)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES			R. 5850. Repurchase agreements and reverse repurchase agreements	5850	(2)(iv)(b)		No equivalent section (NES)		NES	R. 5850. Repurchase agreements and reverse repurchase agreements	5850	(2)(iv)(b)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES			R. 5850. Repurchase agreements and reverse repurchase agreements	5850	(3)		No equivalent section (NES)		NES	R. 5850. Repurchase agreements and reverse repurchase agreements	5850	(3)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES			R. 5850. Repurchase agreements and reverse repurchase agreements	5850	(4)		No equivalent section (NES)		NES	R. 5850. Repurchase agreements and reverse repurchase agreements	5850	(4)	Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES			R. 5851. - 5899. Reserved.	5851-5899			No equivalent section (NES)		NES	R. 5851. - 5899. Reserved.	5851-5899		Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES			R. 5901. Introduction	5901	(1)		No equivalent section (NES)		NES	R. 5901. Introduction	5901	(1)	Adopt a revised version of existing IDPC introduction section referencing Investment Dealer Member Form 1
No equivalent section (NES)	NES			R. 5902. Definitions	5902	(1)		No equivalent section (NES)		NES	R. 5902. Definitions	5902	(1)"fixed rate"	Adopt existing IDPC Rule defined term
No equivalent section (NES)	NES			R. 5903. Margin requirements for securities loan, repurchase agreements, and reverse repurchase agreements with term risk	5903	(1)		No equivalent section (NES)		NES	R. 5903. Margin requirements for securities loan, repurchase agreements, and reverse repurchase agreements with term risk	5903	(1)	Adopt a revised version of existing IDPC Rule provision referencing Investment Dealer Member Form 1
No equivalent section (NES)	NES			R. 5903. Margin requirements for securities loan, repurchase agreements, and reverse repurchase agreements with term risk	5903	(2)		No equivalent section (NES)		NES	R. 5903. Margin requirements for securities loan, repurchase agreements, and reverse repurchase agreements with term risk	5903	(2)	Adopt a revised version of existing IDPC Rule provision referencing Investment Dealer Member Form 1
No equivalent section (NES)	NES			R. 5904. - 5999. Reserved.	5904-5999			No equivalent section (NES)		NES	R. 5904. - 5999. Reserved.	5904-5999		Adopt existing IDPC Rule provision.
No equivalent section (NES)	NES			R. 7101. Introduction	7101	(1)		No equivalent section (NES)		NES	R. 7101. Introduction	7101	(1)	Adopt existing IDPC Rule introduction section
No equivalent section (NES)	NES			R. 7101. Introduction	7101	(2)		No equivalent section (NES)		NES	R. 7101. Introduction	7101	(2)	Adopt existing IDPC Rule introduction section
No equivalent section (NES)	NES			R. 7101. Introduction	7101	(3)		No equivalent section (NES)		NES	R. 7101. Introduction	7101	(3)	Adopt existing IDPC Rule introduction section
No equivalent section (NES)	NES			R. 7102. General requirements	7102	(1)		No equivalent section (NES)		NES	R. 7102. General requirements	7102	(1)	Adopt IDPC Rule provision with revised definition of Dealer Member so that this provision now applies to both Investment Dealer Members and Mutual Fund Dealer Members.
No equivalent section (NES)	NES			R. 7102. General requirements	7102	(2)		No equivalent section (NES)		NES	R. 7102. General requirements	7102	(2)	Adopt IDPC Rule provision with revised definition of Dealer Member so that this provision now applies to both Investment Dealer Members and Mutual Fund Dealer Members.
No equivalent section (NES)	NES			R. 7103. Policies and procedures	7103	(1)		No equivalent section (NES)		NES	R. 7103. Policies and procedures	7103	(1)	Adopt IDPC Rule provision with revised definition of Dealer Member so that this provision now applies to both Investment Dealer Members and Mutual Fund Dealer Members.
No equivalent section (NES)	NES			R. 7103. Policies and procedures	7103	(2)		No equivalent section (NES)		NES	R. 7103. Policies and procedures	7103	(2)	Adopt IDPC Rule provision with revised definition of Dealer Member so that this provision now applies to both Investment Dealer Members and Mutual Fund Dealer Members.
No equivalent section (NES)	NES			R. 7103. Policies and procedures	7103	(3)		No equivalent section (NES)		NES	R. 7103. Policies and procedures	7103	(3)	Adopt IDPC Rule provision with revised definition of Dealer Member so that this provision now applies to both Investment Dealer Members and Mutual Fund Dealer Members.
No equivalent section (NES)	NES			R. 7103. Policies and procedures	7103	(4)		No equivalent section (NES)		NES	R. 7103. Policies and procedures	7103	(4)	Adopt IDPC Rule provision with revised definition of Dealer Member so that this provision now applies to both Investment Dealer Members and Mutual Fund Dealer Members.
No equivalent section (NES)	NES			R. 7104. Trading personnel	7104	(1)		No equivalent section (NES)		NES	R. 7104. Trading personnel	7104	(1)	Adopt IDPC Rule provision with revised definition of Dealer Member so that this provision now applies to both Investment Dealer Members and Mutual Fund Dealer Members.
No equivalent section (NES)	NES			R. 7104. Trading personnel	7104	(2)		No equivalent section (NES)		NES	R. 7104. Trading personnel	7104	(2)	Adopt IDPC Rule provision with revised definition of Dealer Member so that this provision now applies to both Investment Dealer Members and Mutual Fund Dealer Members.

Existing MFD Rule				Existing IDPC Rule				Existing NI 31-103 requirement			New DC Rule			
Number and Title	Section reference	Subsection reference	Cross reference	Number and Title	Section reference	Subsection reference	Cross reference	Number and Title	Section reference	Subsection reference	Number and Title	Section reference	Subsection reference	Proposed Action
No equivalent section (NES)	NES			R. 7113. Surveillance and reporting	7113	(2)		No equivalent section (NES)	NES		R. 7113. Surveillance and reporting	7113	(2)	Adopt IDPC Rule provision with revised definition of Dealer Member so that this provision now applies to both Investment Dealer Members and Mutual Fund Dealer Members.
No equivalent section (NES)	NES			R. 7113. Surveillance and reporting	7113	(3)		No equivalent section (NES)	NES		R. 7113. Surveillance and reporting	7113	(3)	Adopt IDPC Rule provision with revised definition of Dealer Member so that this provision now applies to both Investment Dealer Members and Mutual Fund Dealer Members.
No equivalent section (NES)	NES			R. 7201. Introduction	7201	(1)		No equivalent section (NES)	NES		R. 7201. Introduction	7201	(1)	Adopt a revised version of existing IDPC Rule introduction section referencing Investment Dealer Member specifically
No equivalent section (NES)	NES			R. 7201. Introduction	7201	(2)		No equivalent section (NES)	NES		R. 7201. Introduction	7201	(2)	Adopt existing IDPC Rule introduction section
No equivalent section (NES)	NES			R. 7201. Introduction	7201	(3)		No equivalent section (NES)	NES		R. 7201. Introduction	7201	(3)	Adopt existing IDPC Rule introduction section
No equivalent section (NES)	NES			R. 7202. Definitions	7202	(1) "authorized agent"		No equivalent section (NES)	NES		R. 7202. Definitions	7202	(1) "authorized agent" (mandataire autorisé)	Adopt a revised version of existing IDPC Rule defined term referencing Investment Dealer Member specifically
No equivalent section (NES)	NES			R. 7202. Definitions	7202	(1) "CUSIP"		No equivalent section (NES)	NES		R. 7202. Definitions	7202	(1) "CUSIP" (CUSIP)	Adopt existing IDPC Rule defined term
No equivalent section (NES)	NES			R. 7202. Definitions	7202	(1) "file receipt"		No equivalent section (NES)	NES		R. 7202. Definitions	7202	(1) "file receipt" (reçu de fichier)	Adopt existing IDPC Rule defined term
No equivalent section (NES)	NES			R. 7202. Definitions	7202	(1) "Government Securities Distributor"		No equivalent section (NES)	NES		R. 7202. Definitions	7202	(1) "Government Securities Distributor" (distributeur de titres d'État)	Adopt existing IDPC Rule defined term
No equivalent section (NES)	NES			R. 7202. Definitions	7202	(1) "ISIN"		No equivalent section (NES)	NES		R. 7202. Definitions	7202	(1) "ISIN" (ISIN)	Adopt existing IDPC Rule defined term
No equivalent section (NES)	NES			R. 7202. Definitions	7202	(1) "MTRS 2.0"		No equivalent section (NES)	NES		R. 7202. Definitions	7202	(1) "MTRS 2.0" (SEROM 2.0)	Adopt existing IDPC Rule defined term
No equivalent section (NES)	NES			R. 7202. Definitions	7202	(1) "MTRS 2.0 Enrollment Form"		No equivalent section (NES)	NES		R. 7202. Definitions	7202	(1) "MTRS 2.0 Enrollment Form" (formulaire d'adhésion au SEROM 2.0)	Adopt a revised version of existing IDPC Rule defined term referencing Investment Dealer Member specifically
No equivalent section (NES)	NES			R. 7202. Definitions	7202	(1) "riskless principal trade"		No equivalent section (NES)	NES		R. 7202. Definitions	7202	(1) "riskless principal trade" (opération pour compte propre sans risque)	Adopt a revised version of existing IDPC Rule defined term referencing Investment Dealer Member specifically
No equivalent section (NES)	NES			R. 7202. Definitions	7202	(1) "special condition indicator"		No equivalent section (NES)	NES		R. 7202. Definitions	7202	(1) "special condition indicator" (indicateur de condition spéciale)	Adopt a revised version of existing IDPC Rule defined term referencing Investment Dealer Member specifically
No equivalent section (NES)	NES			R. 7203. Reporting requirements	7203	(1)		No equivalent section (NES)	NES		R. 7203. Reporting requirements	7203	(1)	Adopt a revised version of existing IDPC Rule provision referencing Investment Dealer Member specifically
No equivalent section (NES)	NES			R. 7203. Reporting requirements	7203	(2)		No equivalent section (NES)	NES		R. 7203. Reporting requirements	7203	(2)	Adopt a revised version of existing IDPC Rule provision referencing Investment Dealer Member specifically
No equivalent section (NES)	NES			R. 7203. Reporting requirements	7203	(3)		No equivalent section (NES)	NES		R. 7203. Reporting requirements	7203	(3)	Adopt a revised version of existing IDPC Rule provision referencing Investment Dealer Member specifically
No equivalent section (NES)	NES			R. 7203. Reporting requirements	7203	(4)		No equivalent section (NES)	NES		R. 7203. Reporting requirements	7203	(4)	Adopt a revised version of existing IDPC Rule provision referencing Investment Dealer Member specifically
No equivalent section (NES)	NES			R. 7203. Reporting requirements	7203	(5)		No equivalent section (NES)	NES		R. 7203. Reporting requirements	7203	(5)	Adopt a revised version of existing IDPC Rule provision referencing Investment Dealer Member specifically
No equivalent section (NES)	NES			R. 7203. Reporting requirements	7203	(6)		No equivalent section (NES)	NES		R. 7203. Reporting requirements	7203	(6)	Adopt a revised version of existing IDPC Rule which is materially the same
No equivalent section (NES)	NES			R. 7203. Reporting requirements	7203	(7)		No equivalent section (NES)	NES		R. 7203. Reporting requirements	7203	(7)	Adopt a revised version of existing IDPC Rule provision referencing Investment Dealer Member specifically

Existing MFD Rule				Existing IDPC Rule				Existing NI 31-103 requirement			New DC Rule			
Number and Title	Section reference	Subsection reference	Cross reference	Number and Title	Section reference	Subsection reference	Cross reference	Number and Title	Section reference	Subsection reference	Number and Title	Section reference	Subsection reference	Proposed Action
No equivalent section (NES)	NES			R. 7204. Reporting timeframes	7204	(1)		No equivalent section (NES)		NES	R. 7204. Reporting timeframes	7204	(1)	Adopt a revised version of existing IDPC Rule provision referencing Investment Dealer Member specifically
No equivalent section (NES)	NES			R. 7204. Reporting timeframes	7204	(2)		No equivalent section (NES)		NES	R. 7204. Reporting timeframes	7204	(2)	Adopt a revised version of existing IDPC Rule provision referencing Investment Dealer Member specifically
No equivalent section (NES)	NES			R. 7205. Enrollment requirements	7205	(1)		No equivalent section (NES)		NES	R. 7205. Enrollment requirements	7205	(1)	Adopt a revised version of existing IDPC Rule provision referencing Investment Dealer Member specifically
No equivalent section (NES)	NES			R. 7205. Enrollment requirements	7205	(2)		No equivalent section (NES)		NES	R. 7205. Enrollment requirements	7205	(2)	Adopt a revised version of existing IDPC Rule provision referencing Investment Dealer Member specifically
No equivalent section (NES)	NES			R. 7301. Introduction	7301	(1)		No equivalent section (NES)		NES	R. 7301. Introduction	7301	(1)	Adopt a revised version of existing IDPC Rule introduction section referencing Investment Dealer Member specifically
No equivalent section (NES)	NES			R. 7301. Introduction	7301	(2)		No equivalent section (NES)		NES	R. 7301. Introduction	7301	(2)	Adopt existing IDPC Rule introduction section
No equivalent section (NES)	NES			R. 7302. Definitions	7302	(1) "domestic debt securities"		No equivalent section (NES)		NES	R. 7302. Definitions	7302	(1) "domestic debt securities" (titres de créance canadiens)	Adopt existing IDPC Rule defined term
No equivalent section (NES)	NES			R. 7302. Definitions	7302	(1) "inter-dealer bond broker client"		No equivalent section (NES)		NES	R. 7302. Definitions	7302	(1) "inter-dealer bond broker client" (participant du courtier intermédiaire en obligations)	Adopt existing IDPC Rule defined term
No equivalent section (NES)	NES			R. 7302. Definitions	7302	(1) "inter-dealer bond broker trader"		No equivalent section (NES)		NES	R. 7302. Definitions	7302	(1) "inter-dealer bond broker trader" (négociateur par courtier intermédiaire en obligations)	Adopt existing IDPC Rule defined term
No equivalent section (NES)	NES			R. 7302. Definitions	7302	(1) "information processor"		No equivalent section (NES)		NES	R. 7302. Definitions	7302	(1) "information processor" (agence de traitement de l'information)	Adopt existing IDPC Rule defined term
No equivalent section (NES)	NES			R. 7303. Dealer Members must trade through a Corporation approved inter-dealer bond broker	7303	(1)		No equivalent section (NES)		NES	R. 7303. Dealer Members must trade through a Corporation approved inter-dealer bond broker	7303	(1)	Adopt a revised version of existing IDPC Rule provision referencing Investment Dealer Member specifically
No equivalent section (NES)	NES			R. 7304. Eligibility of inter-dealer bond broker for Corporation approval	7304	(1)		No equivalent section (NES)		NES	R. 7304. Eligibility of inter-dealer bond broker for Corporation approval	7304	(1)	Adopt existing IDPC Rule provision
No equivalent section (NES)	NES			R. 7304. Eligibility of inter-dealer bond broker for Corporation approval	7304	(2)		No equivalent section (NES)		NES	R. 7304. Eligibility of inter-dealer bond broker for Corporation approval	7304	(2)	Adopt existing IDPC Rule provision
No equivalent section (NES)	NES			R. 7305. Corporation requirements for inter-dealer bond broker approval and continued approval	7305	(1)		No equivalent section (NES)		NES	R. 7305. Corporation requirements for inter-dealer bond broker approval and continued approval	7305	(1)	Adopt existing IDPC Rule provision
No equivalent section (NES)	NES			R. 7305. Corporation requirements for inter-dealer bond broker approval and continued approval	7305	(2)		No equivalent section (NES)		NES	R. 7305. Corporation requirements for inter-dealer bond broker approval and continued approval	7305	(2)	Adopt existing IDPC Rule provision
No equivalent section (NES)	NES			R. 7305. Corporation requirements for inter-dealer bond broker approval and continued approval	7305	(3)		No equivalent section (NES)		NES	R. 7305. Corporation requirements for inter-dealer bond broker approval and continued approval	7305	(3)	Adopt a revised version of existing IDPC Rule provision referencing Investment Dealer Member specifically
No equivalent section (NES)	NES			R. 7305. Corporation requirements for inter-dealer bond broker approval and continued approval	7305	(4)		No equivalent section (NES)		NES	R. 7305. Corporation requirements for inter-dealer bond broker approval and continued approval	7305	(4)	Adopt a revised version of existing IDPC Rule provision referencing Investment Dealer Member specifically
No equivalent section (NES)	NES			R. 7305. Corporation requirements for inter-dealer bond broker approval and continued approval	7305	(5)		No equivalent section (NES)		NES	R. 7305. Corporation requirements for inter-dealer bond broker approval and continued approval	7305	(5)	Adopt existing IDPC Rule provision
No equivalent section (NES)	NES			R. 7305. Corporation requirements for inter-dealer bond broker approval and continued approval	7305	(6)		No equivalent section (NES)		NES	R. 7305. Corporation requirements for inter-dealer bond broker approval and continued approval	7305	(6)	Adopt existing IDPC Rule provision
No equivalent section (NES)	NES			R. 7305. Corporation requirements for inter-dealer bond broker approval and continued approval	7305	(7)		No equivalent section (NES)		NES	R. 7305. Corporation requirements for inter-dealer bond broker approval and continued approval	7305	(7)	Adopt a revised version of existing IDPC Rule provision referencing Investment Dealer Member specifically
No equivalent section (NES)	NES			R. 7305. Corporation requirements for inter-dealer bond broker approval and continued approval	7305	(8)		No equivalent section (NES)		NES	R. 7305. Corporation requirements for inter-dealer bond broker approval and continued approval	7305	(8)	Adopt existing IDPC Rule provision

Existing MFD Rule				Existing IDPC Rule				Existing NI 31-103 requirement			New DC Rule			
Number and Title	Section reference	Subsection reference	Cross reference	Number and Title	Section reference	Subsection reference	Cross reference	Number and Title	Section reference	Subsection reference	Number and Title	Section reference	Subsection reference	Proposed Action
No equivalent section (NES)	NES			R. 7305. Corporation requirements for inter-dealer bond broker approval and continued approval	7305	(9)		No equivalent section (NES)	NES		R. 7305. Corporation requirements for inter-dealer bond broker approval and continued approval	7305	(9)	Adopt existing IDPC Rule provision
No equivalent section (NES)	NES			R. 7305. Corporation requirements for inter-dealer bond broker approval and continued approval	7305	(10)		No equivalent section (NES)	NES		R. 7305. Corporation requirements for inter-dealer bond broker approval and continued approval	7305	(10)	Adopt existing IDPC Rule provision
No equivalent section (NES)	NES			R. 7305. Corporation requirements for inter-dealer bond broker approval and continued approval	7305	(11)		No equivalent section (NES)	NES		R. 7305. Corporation requirements for inter-dealer bond broker approval and continued approval	7305	(11)	Adopt existing IDPC Rule provision
No equivalent section (NES)	NES			R. 7305. Corporation requirements for inter-dealer bond broker approval and continued approval	7305	(12)		No equivalent section (NES)	NES		R. 7305. Corporation requirements for inter-dealer bond broker approval and continued approval	7305	(12)	Adopt existing IDPC Rule provision
No equivalent section (NES)	NES			R. 7305. Corporation requirements for inter-dealer bond broker approval and continued approval	7305	(13)		No equivalent section (NES)	NES		R. 7305. Corporation requirements for inter-dealer bond broker approval and continued approval	7305	(13)	Adopt existing IDPC Rule provision
No equivalent section (NES)	NES			R. 7306. Committee review	7306	(1)		No equivalent section (NES)	NES		R. 7306. Committee review	7306	(1)	Adopt a revised version of existing IDPC Rule provision referencing Investment Dealer Member specifically